

UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D.C. 20555-0001

February 8, 2016

Mr. Richard Ratliff, Director Radiation Program Texas Department of State Health Services P.O. Box 149347, MC 1987 Austin, TX 78714-9347

Dear Mr. Ratliff:

We have reviewed the final revisions to the Texas regulations for Control of Radiation, 25 Texas Administrative Code, received by our office on October 22, 2015. These regulations were reviewed by comparison to the equivalent U.S. Nuclear Regulatory Commission (NRC) rules and the requirements of the four amendments identified in the enclosed State Regulation Status (SRS) Data Sheet.

As a result of our review, we have nine comments that have been identified in the enclosure. Please note that we have limited our review to regulations required for compatibility and/or health and safety. We have determined that if these regulations are revised, incorporating our comments and without other significant change, they would meet the compatibility and health and safety categories established in the Office of Nuclear Material Safety and Safeguards (NMSS) Procedure SA-200, "Compatibility Categories and Health and Safety Identification for NRC Regulations and Other Program Elements."

We request that when you revise your regulations to address our comments, a copy of the "as published" regulations be provided to us for review. As requested in NMSS Procedure SA-201, "Review of State Regulatory Requirements," please highlight the location of any changes made by [State], in response to our comments, and provide a copy to Division of Material Safety, State, Tribal, and Rulemaking Programs, NMSS. The SRS Data Sheet summarizes our knowledge of the status of other [State] regulations, as indicated. Please let us know if you note any inaccuracies, or have any comments on the information contained in the SRS Data Sheet. This letter, including the SRS Data Sheet, is posted on the NMSS State Communication Portal: https://scp.nrc.gov/rulemaking.html.

R. Ratliff

If you have any questions regarding the comments, the compatibility and health and safety categories, or any of the NRC regulations used in the review, please contact Michelle Beardsley State Regulation Review Coordinator, at 610-337-6942 (<u>Michelle.Beardsley@nrc.gov</u>) or Lizette Roldán-Otero at 817-200-1596 (<u>Lizette.Roldan@nrc.gov</u>).

Sincerely,

/RA Paul Michalak for

Pamela J. Henderson, Director Division of Material Safety, State, Tribal and Rulemaking Programs Office of Nuclear Material Safety and Safeguards

Enclosures:

1. Compatibility Comments

2. Texas SRS Data Sheet

COMPATIBILITY COMMENTS ON TEXAS FINAL REGULATIONS

STA	TE SECTION	NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS
1	289.252 (a)(2)	30.3(a)	2007-3	С	Activities requiring license TX omits the words "manufacture, produce" after the phrase "Unless otherwise exempted, no person shall" TX needs to include the words in 289.252(a)(2) as indicated above in order to meet the Compatibility Category C designation assigned to 10 CFR Part 30.3(a).
2	289.251(e) (3)(A)(i)(I)(h)	30.15(a)(1)(viii)	2007-3	В	Certain items containing byproduct material TX uses the implementation date of January 1, 1986 instead of the NRC's implementation date of November 30, 2007, which may cause transboundary implications. TX needs to amend the implementation date as indicated above in order to meet the Compatibility Category B designation assigned to 10 CFR Part 30.15(a)(1)(viii).
3	289.251 (e)(3)(C)(i)	30.20(a)	2007-3	В	Gas and aerosol detectors containing byproduct material TX adds the phrase "to protect life or property from fires and airborne hazards" instead of "to protect health, safety, or property". TX needs to replace the phrase in 289.251(e)(3)(C)(i) as indicated above in order to meet the Compatibility Category B designation

Enclosure 1

STA	TE SECTION	NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS
					assigned to 10 CFR 30.20(a).
4	289.251(I)(2)	30.71 – Schedule B	2007-3	В	 30.71 Schedule B TX added 3 isotopes to its Schedule B table: Beryllium-7, Radon-222, Strontium-87m. TX needs to remove the isotopes, and their associated microcuries' value, indicated above in order to meet the Compatibility Category B designation assigned to 10 CFR Part 30.71.
5	289.252(jj) (7)	30.72	2007-3	H&S	Schedule C – Quantities of radioactive material requiring consideration of the need for an emergency plan for responding to a release TX amends the curie quantity amount for Cesium-137 from 3,000 to 2,000. Additionally, TX uses the chemical symbol "Sr," used for Strontium, for the isotope Sulfur-35, instead of the chemical symbol "S." TX needs to amend the curie quantity amount and the chemical symbol indicated above in order to meet the Compatibility Category H&S designation assigned to 10 CFR Part 30.72.
6	289.256 (a)(1)	35.11(a)	2007-3	С	License required TX omits the words "manufacture, produce" after the phrase in the second sentence, "Unless otherwise exempted, no person shall" TX needs to include the words in

STA	TE SECTION	NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS
					289.256(a)(1) as indicated above in order to meet the Compatibility Category C designation assigned to 10 CFR Part 35.11(a).
7	289.251 (f)(4)(K)	31.12(b) 31.12(a)(5)	2007-3 N/A	C	General license for certain items and self-luminous products containing radium-226 Texas omits: 1) 31.12(b) which exempts general licensees from parts 19, 20, 21, etc. and 2) the second sentence of 31.12(a)(5) "For the purposes of this paragraph, "small radium sources" means discrete survey instrument check sources," Texas needs to add requirements that meet the essential objectives of 10 CFR 31.12(b) and 31.12(a)(5) to §289.251(f)(4)(K) in order to meet the Compatibility Category C designation assigned to 10 CFR Part 31.12. COMMENT STANDS FROM NRC LETTER DATED MAY 26, 2015/ ML15078A285. Texas states in their letter dated October 22, 2015, that they will address in a future rulemaking.
8	289.252(e) and 289.253(b)	39.13	2011-2	H&S	Specific licenses for well logging Texas omitted the revised §39.13 language from their regulations. Texas needs to add the revised language in 10 CFR 39.13 to 289.252(e) and 289.2539(b) in order to meet the Compatibility Category H&S designation assigned to 10 CFR 39.13.

STA	TE SECTION	NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS COMMENT STANDS FROM NRC LETTER DATED MAY 26, 2015/ ML15078A285. Texas states in their letter dated October 22, 2015, that they will address in a future rulemaking.
9	289.252(v) (1)	32.210(a)	2012-4	В	Registration of product information TX changes the word "may" to "shall" in their regulations, which may cause transboundary implications. TX needs replace the word "shall" with "may" in order to meet the Compatibility Category B designation assigned to 10 CFR Part 32.210(a).

STATE REGULATION STATUS

State: Texas Department of State Health Services

Tracking Ticket Number: 16-07 Date: 02/08/2016

[#4 amendment(s) reviewed identified by a * at the beginning of the equivalent NRC requirement.]

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1991-1	Safety Requirements for Radiographic Equipment Part 34 55 FR 843 (Superceded by 1997-5)	01/10/1994	Final ML051520266	No Comments 10/31/2005 ML053050013	Texas has adopted Final Regulations equivalent to RATS ID: 1997-5.
1991-2	ASNT Certification of Radiographers Part 34 56 FR 11504 (Superceded by 1997-5)	none	Not Required	Not Required	Texas has adopted Final Regulations equivalent to RATS ID: 1997-5.
1991-3	Standards for Protection Against Radiation Part 20 56 FR 23360; 56 FR 61352; 57 FR 38588; 57 FR 57877; 58 FR 67657; 59 FR 41641; 60 FR 20183;	01/01/1994	Final	No Comments 11/06/1997	
1991-4	Notification of Incidents Parts 20, 30, 31, 34, 39, 40 and 70 56 FR 64980;	10/15/1994	Final ML052060129	No Comments 09/29/2005 ML052720491	
1992-1	Quality Management Program and Misadministrations Part 35 56 FR 34104 (Superceded by 2002-2)	01/27/1995	Not Required	Not Required	Texas has adopted Final Regulations equivalent to RATS ID: 2002-2.
1992-2	Eliminating the Recordkeeping Requirements for Departures from Manufacturer's Instructions Parts 30 and 35 57 FR 45566	none	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1993-1	Decommissioning Recordkeeping and License Termination: Documentation Additions [Restricted areas and spill sites] Parts 30, 40 58 FR 39628	10/25/1996	Final ML052060129	Comments 09/29/2005 ML052720491	
1993-2	Licensing and Radiation Safety Requirements for Irradiators Part 36 58 FR 7715	07/01/1996	Final ML051520266	No Comments 08/12/2005 ML052280032	
1993-3	Definition of Land Disposal and Waste Site QA Program Part 61 58 FR 33886	07/22/1996	Not Applicable	Not Applicable	Texas DSHS does not have responsibility for these regulations. See SRS sheet for Texas CEQ.
1994-1	Self-Guarantee as an Additional Financial Mechanism Parts 30, 40 and 70 58 FR 68726; 59 FR 1618	none	Final ML062860025	No Comments 11/21/2006 ML063200232	These regulation changes are not required to be adopted for purposes of Compatibility.
1994-2	Uranium Mill Tailings Regulations: Conforming NRC Requirements to EPA Standards Part 40 59 FR 28220	07/01/1997	Not Applicable	Not Applicable	Texas CEQ Responsibility
1994-3	Timeliness in Decommissioning Material Facilities Parts 30, 40 and 70 59 FR 36026	08/15/1997	Final ML052060129	No Comments 09/29/2005 ML052720491	
1995-1	Preparation, Transfer for Commercial Distribution, and Use of Byproduct Material for Medical Use Parts 30, 32 and 35 59 FR 61767; 59 FR 65243; 60 FR 322	01/01/1998	Final	No Comments 03/17/1999	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1995-2	Frequency of Medical Examinations for Use of Respiratory Protection Equipment Part 20 60 FR 7900	03/13/1998	Final ML051520266	No Comments 10/31/2005 ML053050013	
1995-3	Low-Level Waste Shipment Manifest Information and Reporting Parts 20 and 61 60 FR 15649; 60 FR 25983	03/01/1998	Final ML112510357	No Comments 11/22/2011 ML113000228	
1995-4	Performance Requirements for Radiography Equipment Part 34 60 FR 28323 (Superceded by 1997-5)	06/30/1998	Final ML051520266	No Comments 10/31/2005 ML053050013	Texas has adopted Final Regulations equivalent to RATS ID: 1997-5.
1995-5	Radiation Protection Requirements: Amended Definitions and Criteria Parts 19 and 20 60 FR 36038	08/14/1998	Final	No Comments 03/17/1999	
1995-6	Clarification of Decommissioning Funding Requirements Parts 30, 40 and 70 60 FR 38235	11/24/1998	Final ML052060129	No Comments 09/29/2005 ML052720491	
1995-7	Medical Administration of Radiation and Radioactive Materials Parts 20 and 35 60 FR 48623 (Superceded by 2002-2 and 2005-2)	10/20/1998	Final ML051520266	No Comments 10/31/2005 ML053050013	Texas has not yet adopted Final Regulations equivalent to RATS ID: 2005-2.
1996-1	Compatibility with the International Atomic Energy Agency Part 71 60 FR 50248; 61 FR 28724 (Superceded by 2004-1)	04/01/1999	Final ML052060129	Comments 09/29/2005 ML052720491	Texas has adopted Final Regulations equivalent to RATS ID: 2004-1.

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1996-2	One Time Extension of Certain Byproduct, Source and Special Nuclear Materials Licenses Parts 30, 40 and 70 61 FR 1109	02/15/1999	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1996-3	Termination or Transfer of Licensed Activities: Record keeping Requirements Parts 20, 30, 40, 61 and 70 61 FR 24669	06/17/1999	Final ML062860025	No Comments 11/21/2006 ML063200232	Part 30 only. Part 61 applies to TXCEQ.
1997-1	Resolution of Dual Regulation of Airborne Effluents of Radioactive Materials; Clean Air Act Part 20 61 FR 65120	01/9/2000	Final	No Comments 07/07/2000	
1997-2	Recognition of Agreement State Licenses in Areas Under Exclusive Federal Jurisdiction Within an Agreement State Part 150 62 FR 1662	02/27/2000	Final ML063030232	No Comments 11/27/2006 ML063200389	
1997-3	Criteria for the Release of Individuals Administered Radioactive Material Parts 20 and 35 62 FR 4120	05/29/2000	Final	No Comments 07/07/2000	
1997-4	Fissile Material Shipments and Exemptions Part 71 62 FR 5907 (Superceded by 2004-1)	02/10/2000	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-97-078)
1997-5	Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiography Operations Parts 30, 34, 71 and 150 62 FR 28947	06/27/2000	Final	No Comments 07/07/2000	

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1997-6	Radiological Criteria for License Termination Parts 20, 30, 40 and 70 62 FR 39057	08/20/2000	Final	No Comments 07/07/2000	
1997-7	Exempt Distribution of a Radioactive Drug Containing One Micro curie of Carbon-14 Urea Part 30 62 FR 63634	01/02/2001	Final ML052060129	No Comments 09/29/2005 ML052720491	
1998-1	Deliberate Misconduct by Unlicensed Persons Parts 30, 40, 61, 70, 71 and 150 63 FR 1890; 63 FR 13773	02/12/2001	Final ML063030232	No Comments 11/27/2006 ML063200389	
1998-2	Self-Guarantee of Decommissioning Funding by Nonprofit and Non-Bond-Issuing Licensees Parts 30, 40 and 70 63 FR 29535	07/01/2001	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1998-3	License Term for Medical Use Licenses Part 35 63 FR 31604 (Superceded by 2002-2)	07/10/2001	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-98-074) Texas has adopted Final Regulations equivalent to RATS ID: 2002-2.
1998-4	Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiographic Operations Part 34 63 FR 37059	07/09/2001	Final ML093110052	No Comments 01/21/2010 ML093520035	
1998-5	Minor Corrections, Clarifying Changes, and a Minor Policy Change Parts 20, 32, 35, 36 and 39 63 FR 39477; 63 FR 45393	10/26/2001	Final	No Comments 07/07/2000	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1998-6	Transfer for Disposal and Manifests: Minor Technical Conforming Amendment Part 20 63 FR 50127	11/20/2001	Final	No Comments 07/07/2000	
1999-1	Radiological Criteria for License Termination of Uranium Recovery Facilities Part 40 64 FR 17506	06/11/2002	Not Applicable	Not Applicable	Texas CEQ Responsibility
1999-2	Requirements for Those Who Possess Certain Industrial Devices Containing Byproduct Material to Provide Requested Information Part 31 64 FR 42269	10/04/2002	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1999-3	Respiratory Protection and Controls to Restrict Internal Exposure Part 20 64 FR 54543; 64 FR 55524	02/02/2003	Final ML062750348	No Comments 11/21/2006 ML063200232	
2000-1	Energy Compensation Sources for Well Logging and Other Regulatory Clarifications Part 39 65 FR 20337	05/17/2003	Final ML051520266	No Comments 11/30/2006 ML063340007	
2000-2	New Dosimetry Technology Parts 34, 36 and 39 65 FR 63750	01/08/2004	Final ML093110052	No Comments 01/21/2010 ML093520035	
2001-1	Requirements for Certain Generally Licensed Industrial Devices Containing Byproduct Material Parts 30, 31 and 32 65 FR 79162	02/16/2004	Final ML120650638	No Comments 04/02/2012 ML120660270	Note: See RATS ID 2012-1 for the changes of compatibility review.

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
2002-1	Revision of the Skin Dose Limit Part 20 67 FR 16298	04/05/2005	Final ML102240370	No Comments 11/19/2010 ML102740009	
2002-2	Medical Use of Byproduct Material Parts 20, 32 and 35 67 FR 20249	10/24/2005	R ¹ ML14258B117	Comments 12/16/2014 ML14258A158	
			Final ML15078A299	No Comments 05/26/2015 ML15078A285	
2003-1	Financial Assurance for Materials Licensees Parts 30, 40 and 70 68 FR 57327	12/03/2006	Final ML112510357	No Comments 11/22/2011 ML113000228	
2004-1	Compatibility With IAEA Transportation Safety Standards and Other Transportation Safety Amendments Part 71	10/01/2007	R ¹ ML14258B117	No Comments 12/16/2014 ML14258A158	
	69 FR 3697		Final ML15078A299	No Comments 05/26/2015 ML15078A285	
2005-1	Security Requirements for Portable Gauges Containing Byproduct Material Part 30 70 FR 2001	07/11/2008	Final ML093110052	No Comments 01/21/2010 ML093520035	
2005-2	Medical Use of Byproduct Material - Recognition of Specialty Boards Part 35 70 FR 16336; 71 FR 1926	04/29/2008	R ¹ ML14258B117	No Comments 12/16/2014 ML14258A158	
			Final ML15078A299	No Comments 05/26/2015 ML15078A285	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
2005-3	Increased Controls for Risk-Significant Radioactive Sources (NRC Order EA-05-090) 70 FR 72128	12/01/2005	License Condition ML052240226	No Comments 12/12/2005 ML053420404	
2006-1	Minor Amendments Parts 20, 30, 32, 35, 40 and 70 71 FR 15005	03/27/2009	R ¹ ML14258B117 Final ML15078A299	Comments 12/16/2014 ML14258A158 No Comments 05/26/2015 ML15078A285	
2006-2	National Source Tracking System - Serialization Requirements Part 32 with reference to Part 20 Appendix E 71 FR 65685	02/06/2007	Final ML093110052	No Comments 01/21/2010 ML093520035	
2006-3	National Source Tracking System Part 20 71 FR 65685, 72 FR 59162	01/31/2009	Final ML093110052	No Comments 01/21/2010 ML093520035	
2007-1	Medical Use of Byproduct Material - Minor Corrections and Clarifications Parts 32 and 35 72 FR 45147, 54207	10/29/2010	Final ML102240370	No Comments 11/19/2010 ML102740009	
*2007-2	Exemptions From Licensing, General Licenses, and Distribution of Byproduct Material: Licensing and Reporting Requirements Parts 30, 31, 32 and 150 72 FR 58473	12/17/2010	Final ML15078A299 Revised Final ML15300A187	Comments 05/26/2015 ML15078A285 No Comments 02/08/2016 ML15300A167	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
*2007-3	Requirements for Expanded Definition of Byproduct Material Parts 20, 30, 31, 32, 33, 35, 61 and 150 72 FR 55864	11/30/2010	Final ML15078A299	Comments 05/26/2015 ML15078A285	
			Revised Final ML15300A187	Comments 02/08/2016 ML15300A167	
2007-4	Order Imposing Fingerprinting Requirements and Criminal History Records Check Requirements for Unescorted Access to Certain Radioactive Material NRC Order EA-07-305 72 FR 70901	06/05/2008	License Condition ML080350004	No Comments 02/13/2008 ML080440203	
2008-1	Occupational Dose Records, Labeling Containers, and Total Effective Dose Equivalent Parts 19 and 20 72 FR 68043	02/15/2011	Final ML112510357	No Comments 11/22/2011 ML113000228	
2009-1	Medical Use of Byproduct Material – Authorized User Clarification Part 35 74 FR 33901	09/28/2012	Final ML112510357	No Comments 11/22/2011 ML113000228	
2011-1	Decommissioning Planning Parts 20, 30, 40 and 70 76 FR 35512	12/17/2015	Proposed ML14258B117	No Comments 12/16/2014 ML14258A158	
			Final ML15078A299	No Comments 05/26/2015 ML15078A285	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
*2011-2	Licenses, Certifications, and Approvals for Materials Licensees Parts 30, 36, 39, 40, 70, and 150 76 FR 56951	11/14/2014	Proposed ML 14258B117	No Comments 12/16/2014 ML14258A158	
			Final ML15078A299	Comments 05/26/2015 ML15078A285	
			Revised Final ML15300A187	Comment 02/08/2016 ML15300A167	
2012-1	Change of Compatibility of 10 CFR 31.5 and 31.6 (See RATS ID: 2001-1 for Rule text) 77 FR 3640	01/25/2015	Final ML120650638	No Comments 04/02/2012 ML12066270	
2012-2	Advance Notification to Native American Tribes of Transportation of Certain Types of Nuclear Waste Part 71 77 FR 34194	08/10/2015	Proposed ML14258B117	No Comments 12/16/2014 ML14258A158	
			Final ML15078A299	No Comments 05/26/2015 ML15078A285	
2012-3	Technical Corrections Parts 30, 34, 40 and 71 77 FR 39899	08/06/2015	Proposed ML14258B117	No Comments 12/16/2014 ML14258A158	
			Final ML15078A299	No Comments 05/26/2015 ML15078A285	

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
*2012-4	Requirements for Distribution of Byproduct Material Parts 30, 31, 32, 40 and 70 77 FR 43666	10/23/2015	Proposed ML14258B117	Comments 12/16/2014 ML14258A158	
			Final ML15078A299	Comments 05/26/2015 ML15078A285	
			Revised Final ML15300A187	Comment 02/08/2016 ML15300A167	
2013-1	Physical Protection of Byproduct Materia Parts 20, 30, 32, 33, 34, 35, 36, 37, 39, and 71 78 FR 16922	03/19/2016	Proposed ML15300A238	Comments 12/01/2015 ML15300A222	
2013-2	Distribution of Source Material to Exempt Persons and to General Licensees and Revision of General License and Exemptions Parts 30, 40, and 70 78 FR 32310	08/27/2016	Proposed ML15300A238	No Comments 12/01/2015 ML15300A222	
2015-1	Domestic Licensing of Special Nuclear Material – Written Reports and Clarifying Amendments Part 70 79 FR 57721, 80 FR 143	01/26/2018	Proposed ML15300A238	No Comments 12/01/2015 ML15300A222	
2015-2	Safeguards Information - Modified Handling Categorization, Change for Materials Facilities Parts 30, 37, 73, and 150 79 FR 58664, 80 FR 3865	01/28/2018	Proposed ML15300A238	No Comments 12/01/2015 ML15300A222	
2015-3	Revisions to Transportation Safety Requirements and Harmonization with International Atomic Energy Agency Transportation Requirements Part 71 80 FR 33987	07/13/2018			

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
2015-4	Miscellaneous Corrections Parts 37 and 40 80 FR 45841	09/02/2018			
N/A	Parts 35.11, 35.63, 35.92 and 35.204	N/A	Final ML112510357	No Comments 11/22/2011 ML113000228	
N/A	Parts 34 and 39 Not Associated with a Specific RATS	N/A	Final ML113340070	Comments 01/11/2012 ML113550343	
N/A	Parts 20, 30, 31, 32, 35, 70 and 71 Not Associated with a Specific RATS	N/A	Proposed ML14258B117	Comments 12/16/2014 ML14258A158	
			Final ML15078A299	Comments 05/26/2015 ML15078A285	Comments are regarding 10 CFR Parts 20.1403, 20.1405 and 31.12(c)(4).