



SGI Rule Information

2009 State Liaison Officers Conference

Robert Norman

Sr. Security Specialist,
Information Security Branch

Division of Nuclear Security
Office of Nuclear Security and
Incident Response

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SGI Rule Information





SGI Rule Information

Objectives

- Discuss the content of the Safeguards Information Final Rule 10 Code of Federal Regulations Parts 73.21, 22 and 23 (Protection of Safeguards Information) and address questions related to its implementation.
- Inform the audience of the existence of the NRC Safeguards Information Local Area Network (LAN) Electronic Safe System (SLES), its intended purpose and plans for its migration to other places of authorized use.

SGI Rule Information

Purpose of Rule

- Regulation updated to:
 - Incorporate Security Orders issued to licensees who were not previously covered by 10 CFR 73.21
 - Incorporate Security Orders issued to non-licensees / applicants
 - Incorporate Energy Policy Act provision requiring fingerprinting
 - Standardize requirements for access to SGI

Milestones

- Proposed Rule issued:
February 11, 2005
- Revised Proposed Rule issued:
October 31, 2006
- Federal Register Notice issued:
October 24, 2008
 - 120 day implementation period
- Rule Implementation date: February 23, 2009

Regulatory Guidance and Information

- Regulatory Information Summary
 - Public comment period ended April 13, 2009
- Regulatory Guide
 - Currently in concurrence with Staff
- Revised Inspection Procedure 81810
 - Currently in concurrence with Staff
- SGI Marking Guide
 - Posted on NRC internal and public webpage

Regulatory Requirements

Fingerprint requirements

- Submitted to NRC
 - Verification of identity
 - Criminal History Records Check
- Mandatory unless exempted by 73.59

Regulatory Requirements

Trustworthiness and Reliability (T&R) Determination required for access to SGI

T&R based upon a background check:

- Employment history
- Education history
- Personal references
- Sufficient to support the Trustworthiness & Reliability determination to both the Organization and the Commission
 - Acceptable documentation from other authorized holders of SGI
 - National Security Clearances acceptable

Regulatory Requirements Addressed

- Information that must be protected
- Conditions for Access
- Storage requirements
- Marking of documents and other SGI matter
- External transmission of documents and matter

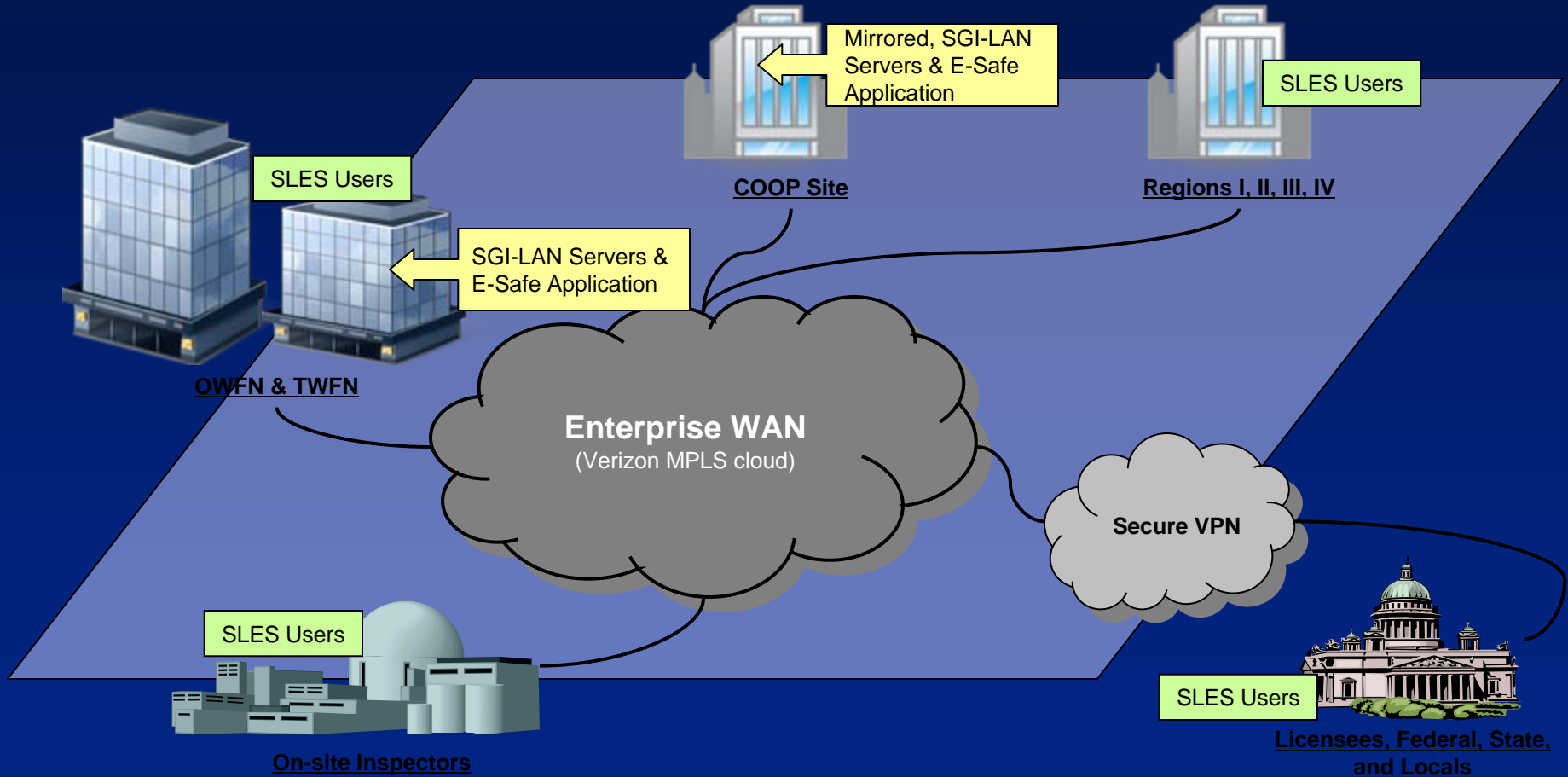
Regulatory Requirements Addressed

- Processing SGI on electronic systems
- Removal from SGI category
- Reproduction of SGI
- Destruction of SGI

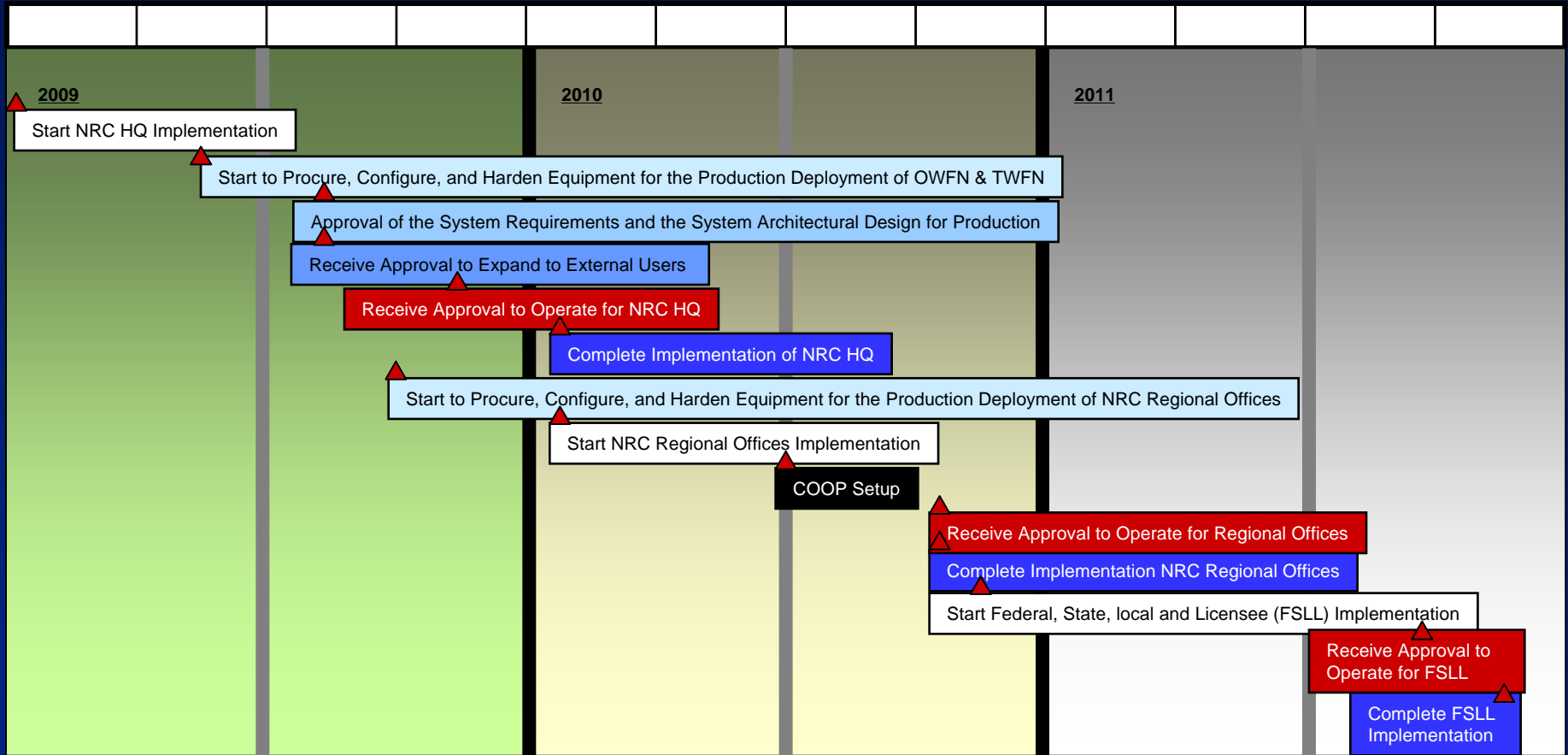
SLES Objective

- Provide a secure network for authorized users to access Safeguards information (SGI) documents electronically, and provide a knowledge management repository for SGI
- Implement a secure SGI records repository in compliance with National Archives and Records Administration (NARA) requirements
- Enable the management and collaboration (e.g. adding, storing, searching, and retrieving) of SGI documents in a centralized electronic document management system

Vision



Project Time-Line



▲ = Milestone Marker

NRC Intent

- Strike a balance between the public's right to information so they can meaningfully participate in regulatory processes and the need to protect sensitive security information from inadvertent release or unauthorized disclosure.
- Continue to evaluate its requirements, policies and guidance concerning the protection and authorized disclosure of Safeguards Information.



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Questions and Answers



SGI Rule Information

Information Security POC

Chief, Information Security

Ms. A. Lynn Silvius

(Lynn.Silvius@NRC.GOV) (301) 415-2214

Sr. Program Manager (Safeguards Information)

Bernard Stapleton

(Bernard.Stapleton@NRC.GOV) (301-415-2432)

Sr. Information Security Specialist

Robert Norman

(Robert.Norman@NRC.GOV) (301) 415-2278

SLES POC

IT Specialist

Mrs. Roya Noory

(Roya.Noory@NRC.GOV) (301) 415-6868

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