



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

October 21, 2020

ALL AGREEMENT STATES

REVISION OF THE CHRONOLOGY OF THE U.S. NUCLEAR REGULATORY COMMISSION (NRC) AMENDMENTS INCLUDING SUMMARY OF CHANGE DOCUMENT FOR MISCELLANEOUS CORRECTIONS [RATS ID 2020-3] (STC-20-073)

Purpose: To provide the Agreement States with the Chronology of the U.S. Nuclear Regulatory Commission (NRC) Amendments including RATS ID 2020-3.

Background: The NRC is amending its regulations in parts 1, 2, 19, 20, 21, 30, 34, 35, 40, 50, 51, 52, 60, 61, 62, 63, 70, 71, 72, 73, 74, 75, 76, 110, and 140 of title 10 of the *Code of Federal Regulations* (10 CFR) to make miscellaneous corrections. These changes include redesignating footnotes, correcting references, typographical errors, nomenclature, titles, e-mail addresses, and contact information.

Discussion: The direct final rule, published on October 16, 2020, is posted in the *Federal Register* (FR), ([85 FR 65656](#)). The chronology is enclosed in its entirety and includes RATS ID 2020-3, as maintained by the Office of Nuclear Material Safety and Safeguards (NMSS). The chronology is for your use to plan rulemaking actions that are needed to satisfy the compatibility and health and safety category designations of the NRC regulations. This document will also be used by the Integrated Materials Performance Evaluation Program (IMPEP) teams during upcoming program reviews.

The Standing Committee on Compatibility reviewed the final rule and determined that certain provisions included in this rule are a matter of compatibility with the Agreement States.

In addition, a Summary of Change document for the October 16, 2020 amendment has been enclosed with this letter. This summary is for your use to identify the changes to the *Code of Federal Regulations* text as well as the compatibility categories associated with those changes. These regulations are due for adoption by the Agreement States no later than November 16, 2023. We request that both proposed regulations and final regulations be provided to us for review in accordance with NMSS Procedure SA-201, "Review of State Regulatory Requirements."

If you have any questions regarding this correspondence, please contact me or the individual named below.

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Enclosures:

1. Chronology of NRC Amendments
2. Summary of Change Document

CHRONOLOGY OF NRC AMENDMENTS

NRC Chronology Identification	FR Notice Number (State Implementation Due Date)	RATS ID
Safety Requirements for Radiographic Equipment-Part 34	55 FR 843; (1/10/94)	1991-1
ASNT Certification of Radiographers-Part 34	56 FR 11504; (none)	1991-2
Standards for Protection Against Radiation-Part 20	56 FR 23360; 56 FR 61352; 57 FR 38588; 57 FR 57877; 58 FR 67657; 59 FR 41641; 60 FR 20183; (1/1/94)	1991-3
Notification of Incidents-Parts 20, 30, 31, 34, 39, 40, 70	56 FR 64980; (10/15/94)	1991-4
Quality Management Program and Misadministrations-Part 35	56 FR 34104; (1/27/95)	1992-1
Eliminating the Recordkeeping Requirements for Departures from Manufacturer's Instructions-Parts 30,35	57 FR 45566; (none)	1992-2
Decommissioning Recordkeeping and License Termination: Documentation Additions [Restricted areas and spill sites]-Parts 30, 40	58 FR 39628; (10/25/96)	1993-1
Licensing and Radiation Safety Requirements for Irradiators-Part 36	58 FR 7715; (7/1/96)	1993-2
Definition of Land Disposal and Waste Site QA Program-Part 61	58 FR 33886; (7/22/96)	1993-3
Self-Guarantee as an Additional Financial Mechanism-Parts 30, 40, 70	58 FR 68726; 59 FR 1618; (none)	1994-1
Uranium Mill Tailings Regulations: Conforming NRC Requirements to EPA Standards - Part 40	59 FR 28220; (7/1/97)	1994-2
Timeliness in Decommissioning Material Facilities-Parts 30, 40, 70	59 FR 36026; (8/15/97)	1994-3
Preparation, Transfer for Commercial Distribution, and Use of Byproduct Material for Medical Use-Parts 30, 32, 35	59 FR 61767; 59 FR 65243; 60 FR 322; (1/1/98)	1995-1
Frequency of Medical Examinations for Use of Respiratory Protection Equipment-Part 20	60 FR 7900; (3/13/98)	1995-2

Low-Level Waste Shipment Manifest Information and Reporting-Parts 20, 61	60 FR 15649; 60 FR 25983; (3/1/98)	1995-3
Performance Requirements for Radiography Equipment-Part 34	60 FR 28323; (6/30/98)	1995-4
Radiation Protection Requirements: Amended Definitions and Criteria-Parts 19, 20	60 FR 36038; (8/14/98)	1995-5
Clarification of Decommissioning Funding Requirements-Parts 30, 40, 70	60 FR 38235; (11/24/98)	1995-6
Medical Administration of Radiation and Radioactive Materials-Parts 20, 35	60 FR 48623; (10/20/98)	1995-7
10 CFR Part 71: Compatibility with the International Atomic Energy Agency-Part 71	60 FR 50248; 61 FR 28724; (4/1/99)	1996-1
One Time Extension of Certain Byproduct, Source and Special Nuclear Materials Licenses-Parts 30, 40, 70	61 FR 1109; (none)	1996-2
Termination or Transfer of Licensed Activities: Recordkeeping Requirements-Parts 20, 30, 40, 61, 70	61 FR 24669; (6/17/99)	1996-3
Resolution of Dual Regulation of Airborne Effluents of Radioactive Materials; Clean Air Act-Part 20	61 FR 65120; (1/9/00)	1997-1
Recognition of Agreement State Licenses in Areas Under Exclusive Federal Jurisdiction Within an Agreement State-Part 150	62 FR 1662; (2/27/00)	1997-2
Criteria for the Release of Individuals Administered Radioactive Material-Parts 20, 35	62 FR 4120; (5/29/00)	1997-3
Fissile Material Shipments and Exemptions-Part 71	62 FR 5907; (none)	1997-4
Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiography Operations-Parts 30, 34, 71, 150	62 FR 28947; (6/27/00)	1997-5
Radiological Criteria for License Termination-Parts 20, 30, 40, 70	62 FR 39057; (8/20/00)	1997-6
Exempt Distribution of a Radioactive Drug Containing One Microcurie of Carbon-14 Urea-Part 30	62 FR 63634; (1/02/01)	1997-7
Deliberate Misconduct by Unlicensed Persons-Parts 30, 40, 61, 70, 71, 150	63 FR 1890; 63 FR 13773; (2/12/01)	1998-1
Self-Guarantee of Decommissioning Funding by Nonprofit and Non-Bond-Issuing Licensees-Parts 30, 40, 70	63 FR 29535; (none)	1998-2

License Term for Medical Use Licenses-Part 35	63 FR 31604; (none)	1998-3
Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiographic Operations-Part 34	63 FR 37059; (7/9/01)	1998-4
Minor Corrections, Clarifying Changes, and a Minor Policy Change-Parts 20, 32, 35, 36 and 39	63 FR 39477; 63 FR 45393; (10/26/01)	1998-5
Transfer for Disposal and Manifests: Minor Technical Conforming Amendment-Part 20	63 FR 50127; (11/20/01)	1998-6
Radiological Criteria for License Termination of Uranium Recovery Facilities-Part 40	64 FR 17506; (6/11/02)	1999-1
Requirements for Those Who Possess Certain Industrial Devices Containing Byproduct Material to Provide Requested Information-Part 31	64 FR 42269; (none)	1999-2
Respiratory Protection and Controls to Restrict Internal Exposure-Part 20	64 FR 54543; 64 FR 55524; (2/2/03)	1999-3
Energy Compensation Sources for Well Logging and Other Regulatory Clarifications-Part 39	65 FR 20337; (5/17/03)	2000-1
New Dosimetry Technology-Parts 34, 36, 39	65 FR 63750; (1/8/04)	2000-2
Requirements for Certain Generally Licensed Industrial Devices Containing Byproduct Material - Parts 30, 31, 32	65 FR 79162; (2/16/04)	2001-1
Revision of the Skin Dose Limit-Part 20	67 FR 16298; (4/5/05)	2002-1
Medical Use of Byproduct Material-Parts 20, 32, and 35	67 FR 20249; (10/24/05)	2002-2
Financial Assurance for Materials Licensees B Parts 30, 40, 70	68 FR 57327; (12/3/06)	2003-1
Compatibility With IAEA Transportation Safety Standards and Other Transportation Safety Amendments B Part 71.	69 FR 3697; (10/01/07)	2004-1
Security Requirements for Portable Gauges Containing Byproduct Material - Part 30	70 FR 2001; (7/11/08)	2005-1
Medical Use of Byproduct Material - Recognition of Specialty Boards - Part 35	70 FR 16336; 71 FR 1926 (4/29/08)	2005-2
Increased Controls for Risk-Significant Radioactive Sources (NRC Order EA-05-090)	70 FR 72128 (12/01/2005)	2005-3

Minor Amendments -Parts 20, 30,32, 35, 40, 70	71 FR 15005 (03/27/09)	2006-1
National Source Tracking System - Serialization Requirements Part 32 (with reference to Part 20 Appendix E)	71 FR 65685 (02/06/07)	2006-2
National Source Tracking System Part 20	71 FR 65685 (01/31/09 Cat I and Cat II)	2006-3
Medical Use of Byproduct Material - Minor Corrections and Clarifications Parts 32 and 35	72 FR 45147, 54207 (10/29/10)	2007-1
Exemptions From Licensing, General Licenses, and Distribution of Byproduct Material: Licensing and Reporting Requirements Parts 30, 31, 32, and 150	72 FR 58473 (12/17/10)	2007-2
Requirements for Expanded Definition of Byproduct Material Parts - 20, 30, 31, 32, 33, 35, 61, and 150	72 FR 55864, 73 FR 42672 (11/30/10)	2007-3
Order Imposing Fingerprinting Requirements and Criminal History Records Check Requirements for Unescorted Access to Certain Radioactive Material (Order EA-07-305)	72 FR 70901 (06/05/08)	2007-4
Occupational Dose Records, Labeling Containers, and the Total Effective Dose Equivalent Parts – 19 and 20	72 FR 68043, 72233 (02/15/11)	2008-1
Medical Use of Byproduct Material—Authorized User Clarification, Part 35	74 FR 33901 (09/28/12)	2009-1
Decommissioning Planning, Parts 20, 30, 40, and 70	76 FR 35512 (12/17/2015)	2011-1
Licenses, Certifications, and Approvals for Materials Licensees, Parts 30, 36, 39, 40, 51, 70, and 150	76 FR 56951 (11/14/2014)	2011-2
Change of Compatibility of 10 CFR 31.5 and 31.6 in the Withdrawal of Proposed Rule and Closure of Petition For Rulemaking: Organization of Agreement States and Florida Department of Health, Bureau of Radiation Control	77 FR 3640 (01/25/2015)	2012-1
Advance Notification to Native American Tribes of Transportation of Certain Types of Nuclear Waste – Part 71	77 FR 34194 (08/10/2015)	2012-2
Technical Corrections – Parts 30, 34, 40, and 71	77 FR 39899 (08/06/2015)	2012-3

Requirements for Distribution of Byproduct Material, Parts 30, 31, 32, 40, and 70	77 FR 43666 (10/23/2015)	2012-4
Physical Protection of Byproduct Material, 10 CFR Parts 20, 30, 32, 33, 34, 35, 36, 37, 39, and 71	78 FR 16922 (March 19, 2016)	2013-1
Distribution of Source Material to Exempt Persons and to General Licensees and Revision of General License and Exemptions, 10 CFR Parts 30, 40, and 70	78 FR 16922 (August 27, 2016)	2013-2
Domestic Licensing of Special Nuclear Material – Written Reports and Clarifying Amendments, 10 CFR Part 70	79 FR 57721, 80 FR 143 (January 26, 2018)	2015-1
Safeguards Information - Modified Handling Categorization, Change for Materials Facilities, 10 CFR Parts 30, 37, 73, and 150	79 FR 58664, 80 FR 3865 (January 28, 2018)	2015-2
Revisions to Transportation Safety Requirements and Harmonization With International Atomic Energy Agency Transportation Requirements, 10 CFR Part 71	80 FR 33987 (July 13, 2018)	2015-3
Miscellaneous Corrections, 10 CFR Parts 37 and 40	80 FR 45841 (September 2, 2018)	2015-4
Miscellaneous Corrections, 10 CFR Parts 19, 20, 30, 32, 37, 40, 61, 70, 71, and 150	80 FR 74974 (December 31, 2018)	2015-5
Medical Use of Byproduct Material – Medical Event Definitions, Training and Experience, and Clarifying Amendments, 10 CFR Parts 30, 32 and 35	83 FR 33046 (January 14, 2022)	2018-1
Miscellaneous Corrections – Organizational Changes 10 CFR Parts 37, 40, 70, and 71	83 FR 57231 (December 21, 2021)	2018-2
Miscellaneous Corrections 10 CFR Parts 1, 2, 34, 37, 50, 71, 73, and 140	83 FR 30285 (July 30, 2022)	2018-3
Miscellaneous Corrections 10 CFR Parts 2, 21, 37, 50, 52, 73, and 110	84 FR 63565 (December 18, 2022)	2019-1
Organizational Changes and Conforming Amendments 10 CFR Parts 1, 2, 37, 40, 50, 51, 52, 55, 71, 72, 73, 74, 100, 140, and 150	84 FR 65639 84 FR 66561 (December 30, 2022)	2019-2
Individual Monitoring Devices 10 CFR Parts 34, 36, and 39	85 FR 15347 (June 16, 2023)	2020-1

Social Security Number Fraud Prevention 10 CFR Parts 9 and 35	85 FR 33527 and 85 FR 44685 (August 17, 2023)	2020-2
Miscellaneous Corrections 10 CFR Parts 1, 2, 19, 20, 21, 30, 34, 35, 40, 50, 51, 52, 60, 61, 62, 63, 70, 71, 72, 73, 74, 75, 76, 110, and 140	85 FR 65656 (November 16, 2023)	2020-3

Miscellaneous Corrections
10 CFR Parts 1, 2, 19, 20, 21, 30, 34, 35, 40, 50, 51, 52, 60, 61, 62, 63, 70, 71, 72, 73, 74, 75, 76, 110, and 140
(85 FR 65656)
RATS ID: 2020-3
Effective Date: November 16, 2020
Date Due for State Adoption: November 16, 2023

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
§ 19.8(b)	Information collection requirements: OMB approval		D	Revised to read as follows: (b) The approved information collection requirements contained in this part appear in §§ 19.12, 19.13, 19.16, and 19.31.	NA		
§ 20.1906(d)	Procedures for receiving and opening packages		H&S	Revised to read as follows: (d) The licensee shall immediately notify the final delivery carrier and the NRC Headquarters Operations Center by telephone at the numbers specified in appendix A to part 73 of this chapter, when— * * * * *			
§ 20.2201(a)(2)(ii)	Reports of theft or loss of		C	Revised to read as follows: (a) * * *			

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
	licensed material			<p>(2) * * *</p> <p>(ii) All other licensees shall make reports by telephone to the NRC Headquarters Operations Center at the numbers specified in appendix A to part 73 of this chapter.</p> <p>* * * *</p>			
§ 20.2202(d)(2)	Notification of incidents		C	<p>Revised to read as follows:</p> <p>(d) * * *</p> <p>(2) All other licensees shall make the reports required by paragraphs (a) and (b) of this section by telephone to the NRC Headquarters Operations Center at the numbers specified in appendix A to part 73 of this chapter.</p> <p>* * * * *</p>			
Appendix D to Part 20	United States Nuclear Regulatory Commission Offices		D	In the first row of the table in appendix D to part 20, remove the title "Division of Incident Response Operations" and add in its place "Division of Preparedness and Response" and remove the email	NA		

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
				"H001@nrc.gov" and add in its place "Hoo.Hoc@nrc.gov".			
§ 30.50(c)(1)	Reporting requirements		C	<p>Revised to read as follows:</p> <p style="text-align: center;">* * * * *</p> <p style="text-align: center;">(c) * * *</p> <p>(1) Licensees shall make reports required by paragraphs (a) and (b) of this section by telephone to the NRC Headquarters Operations Center at the numbers specified in appendix A to part 73 of this chapter. *</p> <p style="text-align: center;">* * *</p> <p style="text-align: center;">* * * * *</p>			
§ 34.8(b)	Information collection requirements: OMB approval		D	In § 34.8(b), add "34.111," in numerical order.	NA		
§ 35.390(a)(1)	Training for use of unsealed byproduct material for which a written directive is required		B	In § 35.390(a)(1), remove "Committee on Post-Graduate Training" and add in its place "Council on Postdoctoral Training".			
§ 35.490	Training for use of manual		B	In § 35.490, in paragraph (a)(1), remove "Committee on Post-			

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
	brachytherapy sources			Graduate Training” and add in its place “Council on Postdoctoral Training”; And in paragraph (b)(2), remove “Committee on Postdoctoral” and add in its place “Council on Postdoctoral”.			
§ 35.690	Training for use of remote afterloader units, teletherapy units, and gamma stereotactic radiosurgery units		B	In § 35.690, in paragraph (a)(1), remove “Committee on Post-Graduate Training” and add in its place “Council on Postdoctoral Training”; And in paragraph (b)(2), remove “Committee on Postdoctoral” and add in its place “Council on Postdoctoral”.			
§ 40.8(b)	Information collection requirements: OMB approval		D	In § 40.8, in paragraph (b) add “40.14,” in numerical order, and in paragraph (c)(3) remove “Forms N-71 and associated forms” and add in its place “IAEA Design Information Questionnaire forms”.	NA		
§ 40.31(g)(1)	Application for specific licenses		D	In § 40.31(g)(1), remove “Form N-71 and associated forms” and add in its place “IAEA Design	NA		

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
				Information Questionnaire forms".			
§ 40.60(c)(1)	Reporting requirements		C	<p>Revised to read as follows:</p> <p>(c) * * *</p> <p>(1) Licensees shall make reports required by paragraphs (a) and (b) of this section by telephone to the NRC Headquarters Operations Center at the numbers specified in appendix A to part 73 of this chapter. *</p> <p>* * *</p> <p>* * * * *</p>			
§40.67(c) and (d)	Requirement for advance notice for importation of natural uranium from countries that are not party to the Convention on the Physical Protection of Nuclear Material.		NRC	<p>Revised to read as follows:</p> <p>* * * * *</p> <p>(c) The licensee shall notify the Director, Office of Nuclear Security and Incident Response, by telephone at the numbers for the NRC Headquarters Operations Center specified in appendix A to part 73 of this chapter when the shipment is received in the receiving facility.</p>	NA		

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
				(d) A licensee who needs to amend a notification shall notify the Director, Office of Nuclear Security and Incident Response, by telephone at the numbers specified for the NRC Headquarters Operations Center in appendix A to part 73 of this chapter.			
§ 61.8(c)	Information collection requirements: OMB approval		D	In § 61.8(c), remove “Form N-71 and associated forms” and add in its place “IAEA Design Information Questionnaire forms”.	NA		
§ 61.32(a)	Facility information and verification		D	In § 61.32(a), remove “Form N-71 and associated forms” and add in its place “IAEA Design Information Questionnaire forms”.	NA		
§ 70.8(c)(1)	Information collection requirements: OMB approval		D	In § 70.8(c)(1), remove “Form N-71 and associated forms” and add in its place “IAEA Design Information Questionnaire forms”.	NA		
§ 70.21(g)(1)	Filing		NRC	In § 70.21(g)(1), remove “Form N-71 and associated forms” and add in its place “IAEA Design	NA		

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
				Information Questionnaire forms".			
§ 70.50(c)(1)	Reporting requirements		C	<p>Revised to read as follows:</p> <p style="text-align: center;">* * * * *</p> <p style="text-align: center;">(c) * * *</p> <p>(1) Licensees shall make reports required by paragraphs (a) and (b) of this section, and by § 70.74 and appendix A of this part, if applicable, by telephone to the NRC Headquarters Operations Center at the numbers specified in appendix A to part 73 of this chapter. * *</p> <p style="text-align: center;">*</p> <p style="text-align: center;">* * * * *</p>			
§ 70.52(a)	Reports of accidental criticality		NRC	<p>Revised to read as follows:</p> <p>(a) Each licensee shall notify the NRC Headquarters Operations Center by telephone at the numbers specified in appendix A to part 73 of this chapter within 1 hour after discovery of any case of accidental criticality.</p> <p style="text-align: center;">* * * * *</p>	NA		

Change to NRC Section	Title	State Section	Compatibility Category	Summary of Change to CFR	Difference Yes/No	Significant Yes/No	If Difference, Why or Why Not Was a Comment Generated
§ 71.97(c)(3)(i)	Advance notification of shipment of irradiated reactor fuel and nuclear waste		B	In § 71.97, remove and reserve paragraph (c)(3)(i): [“A list of the names and mailing addresses of the governors’ designees receiving advance notification of transportation of nuclear waste was published in the FEDERAL REGISTER on June 30, 1995, 60 FR 34306”]			
Appendix A to Part 71, Table A-1	A1 and A2 Values for Radionuclides Exempt Material Activity Concentrations and Exempt Consignment Activity Limits for Radionuclides		[B]	In Appendix A to part 71, in Table A-1, amend the entry radionuclide Sm-147, by removing “ 8.5×10^{-1} ” and adding in its place “ 8.5×10^{-10} ”.			