



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

January 29, 2010

MEMORANDUM TO: Robert J Lewis, Director
Division of Materials Safety
and State Agreements

FROM: Martin J. Virgilio */RA/*
Deputy Executive Director for Materials, Waste,
Research, State, Tribal, and Compliance Programs
Office of the Executive Director for Operations

Shawn Seeley, Chair *Shawn W. Seeley*
Organization of Agreement States, Inc.

SUBJECT: FINAL REPORT FOR THE INTEGRATED MATERIALS
PERFORMANCE EVALUATION PROGRAM REVIEW OF THE
U.S. NUCLEAR REGULATORY COMMISSION'S SEALED
SOURCE AND DEVICE EVALUATION PROGRAM

On January 5, 2010, the Management Review Board (MRB) met to consider the proposed final Integrated Materials Performance Evaluation Program (IMPEP) report of the U.S. Nuclear Regulatory Commission's (NRC) Sealed Source and Device (SS&D) Evaluation Program. The MRB found the NRC SS&D Evaluation Program adequate to protect public health and safety.

Section 4.0, page 6, of the enclosed final report summarizes the results of the review and presents the one recommendation made by the review team. Based on the results of the current review, the next IMPEP review of the NRC SS&D Evaluation Program will take place in approximately 4 years.

If you have any questions, please contact Joshua Daehler, Radiation Control Officer, Massachusetts Radiation Control Program at (617) 242-3035.

We appreciate your staff's efforts during the IMPEP review period, especially during the time of the team's visit.

Enclosure:
Final NRC SS&D Evaluation
Program IMPEP Report

cc w/encl: See next page

R.J. Lewis

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cc w/encl: Jack W. Foster, Chief
Licensing Branch
Division of Materials Safety
and State Agreements

W. Lee Cox, North Carolina
Organization of Agreement States
Liaison to the MRB



Organization of Agreement States

INTEGRATED MATERIALS PERFORMANCE EVALUATION PROGRAM
REVIEW OF THE NRC SEALED SOURCE AND DEVICE EVALUATION PROGRAM

October 19-23, 2009

FINAL REPORT

Enclosure

1.0 INTRODUCTION

This report presents the results of the review of the U.S. Nuclear Regulatory Commission's (NRC) Sealed Source and Device (SS&D) Evaluation Program. The review was conducted during the period of October 19-23, 2009, by a review team comprised of technical staff members from the NRC, the State of Florida, and the Commonwealth of Massachusetts. Team members are identified in Appendix A. The review was conducted in accordance with the "Implementation of the Integrated Materials Performance Evaluation Program and Rescission of Final General Statement of Policy," published in the *Federal Register* on October 16, 1997, and the February 26, 2004 NRC Management Directive 5.6, "Integrated Materials Performance Evaluation Program (IMPEP)." Preliminary results of the review, which covered the period of September 24, 2005, to October 23, 2009, were discussed with NRC managers on the last day of the review.

A draft of this report was issued to the NRC for factual comment on November 19, 2009. NRC responded by letter dated December 15, 2009, from Jack Foster, Chief, Licensing Branch (the Branch). A copy of the NRC's response is included as the Attachment to this report. The Management Review Board (MRB) met on January 5, 2010, to consider the proposed final report. The MRB found the NRC's SS&D Evaluation Program adequate to protect public health and safety.

The SS&D Evaluation Program is administered by the Branch in the Division of Materials Safety and State Agreements (the Division). The Division is part of the Office of Federal and State Material and Environmental Management Programs (the Office). Organization charts for the Office and the Division are included in Appendix B.

At the time of the review, the SS&D Evaluation Program maintained authority for performing SS&D evaluations in areas licensed by NRC and also in areas licensed by the Agreement States of Arkansas, Iowa, Minnesota, New Jersey, Oklahoma, New Mexico, North Dakota, Oregon, Pennsylvania, Rhode Island, Utah, Virginia, and Wisconsin.

In preparation for the review, a questionnaire addressing the non-common performance indicator SS&D Evaluation Program was sent to the Branch on August 6, 2009. The Branch provided its response to the questionnaire on September 30, 2009. A copy of the questionnaire response may be found in the NRC's Agencywide Documents Access and Management System (ADAMS) using the Accession Number ML092730651.

The review team's general approach for conduct of this review consisted of: (1) an examination of NRC's response to the questionnaire, (2) a review of selected safety evaluation casework, (3) a review of staffing and training, (4) a review of incident and allegation files, and (5) interviews with staff and managers. The review team evaluated the information gathered against the established criteria for the non-common performance indicator and made a preliminary assessment of the SS&D Evaluation Program's performance.

Section 2.0 of this report covers the NRC's actions in response to recommendations made during the previous review. The results of the current review are presented in Section 3.0. Section 4.0 summarizes the review team's findings and recommendations. The review team's

recommendations are comments that relate directly to program performance by the NRC. A response is requested from the NRC to all recommendations in the final report.

2.0 STATUS OF ITEMS IDENTIFIED IN PREVIOUS REVIEWS

During the previous IMPEP review, which concluded on September 23, 2005, the review team made one recommendation in regard to program performance. The status of the recommendation is as follows:

The review team recommends that the Section adhere more closely to the document format and contents in the guidance as identified in the current NUREG-1556, Volume 3. (Section 3.2 of the 2005 IMPEP report)

Current Status: The Branch (formerly the Section) issued a set of Policy and Guidance Directives in April 2006 that included direction for staff to follow the guidance of NUREG-1556, Volume 3, "Consolidated Guidance About Materials Licenses – Applications for Sealed Source and Device Evaluation and Registration". Interviews with staff confirmed that staff follows guidance from the current revision of NUREG-1556, Volume 3. As evidenced by fewer format and content issues averaged per completed registration discovered during this review period of less than one as compared to that discovered during the last review period of greater than two, the review team determined that the Branch adheres more closely to the document format and contents in the guidance as identified in the current NUREG-1556, Volume 3. This recommendation is closed.

3.0 PERFORMANCE INDICATOR

IMPEP identifies five common performance indicators and four non-common performance indicators to be used in reviewing Agreement State and NRC Programs. This review was limited to evaluating the non-common performance indicator, SS&D Evaluation Program.

In reviewing this performance indicator, the review team used three subelements to evaluate the Branch's performance regarding the SS&D Evaluation Program. These subelements were: (1) Technical Staffing and Training, (2) Technical Quality of the Product Evaluation Program, and (3) Evaluation of Defects and Incidents Regarding SS&Ds.

In assessing the Branch's SS&D evaluation activities, the review team examined information contained in the Branch's response to the IMPEP questionnaire for this indicator and performed searches of the National Material Events Database (NMED). The review team evaluated 13 SS&D evaluations and supporting documents processed during the review period. The review team noted the staff's use of guidance documents and procedures, interviewed staff members involved in SS&D evaluations, and verified the use of regulations and inspections to enforce commitments made in the applications.

3.1 Technical Staffing and Training

At the time of the review, the SS&D Team Leader and four staff members were conducting SS&D reviews. Three individuals were fully qualified to independently review and sign registry

sheets. There is one additional Branch staff member who was fully qualified to independently review and sign registry sheets; however, this individual was not conducting reviews at the time of the IMPEP. One licensing assistant provides administrative support to the program. Since the last review, the program has been through two reorganizations, four individuals (three fully qualified and one in training) have left the SS&D group, and two individuals have joined the group. Three of the five current staff members conducted reviews throughout the entire review period. Staff turnover was the result of individuals taking assignments in other parts of the NRC. There were no vacancies in the SS&D group at the time of the review.

All current staff members spend only a portion of their time conducting SS&D reviews. During the last two fiscal years, the highest time expenditure by any individual was 0.30 full-time equivalents (FTE). According to the Branch's questionnaire response, the FTE expenditure on the SS&D program during the review period was 1.02 FTE for FY 2006, 1.01 FTE for FY 2007, 1.01 FTE for FY 2008, and 1.60 FTE for FY 2009.

The review team evaluated the qualifications of and the respective documentation for the individuals who were certified to independently review and sign registry sheets during the review period. The review team also evaluated the qualifications of and the respective documentation for the two individuals currently in the training process. The qualification procedure used for NRC SS&D reviewers is found in the NRC Inspection Manual Chapter (IMC) 1246 Appendix A, Section XVI, "Technical Reviewer Qualifications Journal - Byproduct Material Sealed Source and Device Reviewers."

New staff members are required to complete the training requirements in IMC 1246, Appendix A, Section XVI and participate in the review of 20 cases. Depending on the casework available during the training period, the required SS&D casework includes sources, irradiators, radiography equipment, consumer products, gauges and medical devices. Once the staff member has completed the training and casework, the staff member is evaluated by an SS&D review board prior to being certified to independently review applications and sign registry sheets.

Based on interviews with staff, a review of casework, and a review of the required training courses, the review team concluded that the training program is effective in developing a competent and qualified staff. The review team also concluded that staffing levels were adequate based on the Branch's current and projected workload.

Based on the IMPEP evaluation criteria, the review team recommended, and the MRB agreed, that NRC's performance with respect to the subelement, Technical Staffing and Training, was satisfactory.

3.2 Technical Quality of the Product Evaluation Program

The review team evaluated 13 SS&D registrations that were completed by the Branch during the review period. At the time of the review, the Branch had 236 active SS&D registrations of which 46 registrations were for exempt products. The questionnaire response provided by the Branch supported that at least 166 SS&D registration cases were completed during the review period. Casework selected for review included work performed by four fully qualified staff members and consisted of a cross section sampling of new, amended, inactivated, and

corrected registrations. The review team performed their review using the official records in ADAMS. A list of SS&D casework examined, with case-specific comments, can be found in Appendix C.

Analysis of the casework and interviews with staff members confirmed that the Branch staff follows the recommended guidance from NRC's SS&D Workshop and NUREG-1556, Volume 3, Revision 1. The review team confirmed that all applicable and pertinent American National Standards Institute standards, NUREG-1556 Series guides, NRC Regulatory Guides, and applicable references were available and used appropriately in performing the SS&D reviews.

A case file is created for each applicant's request. This case file will contain all documents received and generated by this request and includes the applicant's request, Branch's requests for additional information, applicant replies, e-mails, the signed registry certificate issued to the applicant, etc. Once the case file is closed, the information is made an official agency record in ADAMS. The process for document management is described in one of the Branch's Policy and Guidance Directives. The ADAMS documents available for review contained all photographs, engineering drawings, and radiation profiles required to evaluate the source or device; however, the review team found several instances where the NRC's official records in ADAMS were missing documents that contained commitments made by the licensee that should be enforceable via inspection in case of violation. The review team found that 4 of the 13 case files evaluated were missing critical information in ADAMS. Of these four files, a total of eight documents were missing from ADAMS or incorrect documents were placed in ADAMS in their stead. At the time of discovery by the review team, the commitments made under these four registrations were not legally enforceable based upon the NRC's official records. The review team noted that the information missing in three of the four files was added to ADAMS during the on-site review, because the paper copies of the application and commitments were still available. As a general practice, all paper copies of the cases completed during the review period are destroyed following the IMPEP review. Subsequent to the on-site portion of the review, the NRC discovered the remaining documents for the four files and ensured that they were appropriately added to ADAMS and the case files. The review team noted that the Branch's Policy and Guidance Directive for document management requires a final quality check of ADAMS documents for each case file to be performed by the SS&D Team Leader; however, that final check was not consistently performed. The review team recommends that the NRC evaluate the implementation and effectiveness of the Branch's Policy and Guidance Directives and ensure that all of the required documents needed to enforce the provisions of the registration certificate are made part of the NRC's official records in ADAMS, including those cases closed during this review period.

With one exception noted in the next paragraph, the registrations clearly summarized the product evaluation to provide license reviewers with adequate information to license the possession and use of the product. Requests for additional information clearly stated regulatory positions. The review team found that the registry evaluations were of high quality with health and safety issues properly addressed.

The review team found that one SS&D registration had been amended in its entirety twice after a health and safety waste disposal issue involving the discovery of long-lived radioactive contaminants was identified and documented in NRC Information Notice (IN), IN 2007-10, "Yttrium-90 Theraspheres® and Sirspheres® Impurities". Neither amended registration

incorporated the information to provide license reviewers with adequate information to license the possession and use of the product. The registration currently identifies only one radionuclide, a short-lived radionuclide, contained in the product and specifies in the Limitations and/or Other Considerations of Use Section of the registration, in part, that disposal is to be determined by the licensing authority. The review team discussed with Branch management the benefit of including information about the long-lived contaminants in the registration to alert license reviewers and allow license reviewers to make informed licensing decisions regarding disposal of the product. For example, license reviewers may find that a decay-in-storage method of disposal is appropriate for a short-lived radionuclide but may find that such disposal method is not appropriate for a long-lived radionuclide. The Branch's management committed to issue an amendment to the registration that would add a Reviewer's Note indicating that disposal issues should be handled in accordance with IN 2007-10.

The staff uses Section 10.7 and Appendix G of NUREG-1556, Volume 3, Revision 1, to review details of the applicant's quality assurance and quality control (QA/QC) program. The review team determined that the staff's evaluation of applicant developed QA/QC programs was adequate and consistent with Section 10.7 and Appendix G of NUREG-1556, Volume 3, Revision 1. The review team did not evaluate the NRC's practice of evaluating implementation of applicant QA/QC programs as it is handled by the NRC's Regional offices and, therefore, was out of the scope of this review. The review team was provided a copy of NRC Inspection Procedure (IP) 87125, "Materials Processor/Manufacturer Programs," and verified that NRC inspectors are provided guidance to evaluate the implementation of QA/QC programs, as committed to by the licensee during the application process, during inspections. The NRC inspectors' adherence to the guidance in IP 87125 for evaluating licensees' implementations of their respective QA/QC programs is assessed during IMPEP reviews of the NRC's three Regional radioactive materials programs.

Based on the IMPEP evaluation criteria, the review team recommended, and the MRB agreed, that the NRC's performance with respect to the subelement, Technical Quality of Product Evaluation Program, was satisfactory.

3.3 Evaluation of Defects and Incidents Regarding SS&Ds

Based upon the Branch's response to the questionnaire, interviews with Branch staff, review of incident files maintained by the SS&D Team Leader and the review team's searches of NMED, the review team determined that one product registered by the NRC exhibited a generic defect during the review period. The SS&D registration for the product is identified in Appendix D of this report. The generic defect was reported by the distributor of the product to the NRC, and the Branch took appropriate action to include evaluation of a design change to mitigate the defect and evaluation of corrective actions proposed by the distributor to modify products already distributed. The review team noted that the design change is described in the report made by the distributor but that the SS&D registration had not been amended to include the design change. The SS&D Team Leader explained that the Branch evaluated whether the Description section of the registration should be amended and decided that a change in the Description section was not warranted. The review team also noted that, unrelated to the specific design change made, a picture submitted with the distributor's report contained different information than that contained in diagrams made part of the registration. Specifically, the on and off indicators of the product were position reversed.

The review team discussed with Branch management the benefit of adding the distributor's report containing the design change to the registration and also for resolving the on and off indicator discrepancy. The Branch's management committed to add the design change information as a reference to the registration, and to resolve the on and off indicator discrepancy. Subsequent to the on-site portion of the review, the NRC issued an amendment of Registration Certificate NR-1195-D-103-S, dated December 14, 2009, that reflects the design change.

In addition to evaluating incidents and defects of products registered by the NRC, the Branch also evaluates defect and incidents of additional sealed source and device products registered by Agreement States that are used by NRC licensees. The Branch accomplishes these evaluations by performing generic assessments of product incident information that is received by the Branch daily through NRC's Operations Center and also through periodic analysis of events reported to NMED. The Branch performs generic assessments in accordance with criteria contained in MD 6.4 "Generic Issues Program" dated July 29, 2005. If a generic issue is identified, the NRC will issue a generic communication to licensees and Agreement States. Examples of generic communications resultant from Branch assessments or participation by Branch staff during the review period included IN 2007-35, "Varian Medical Systems Varisource HDR Events: Iridium-192 Source Pulled from Shielded Position"; IN 2009-5, "Contamination Events Resulting from Damage to Sealed Radioactive Sources During Gauge Dismantlement and Non-Routine Operations"; IN 2009-15, "Varian Medical Systems Varisource High Dose-Rate Remote Afterloader Events: Source Retraction Problems"; and IN 2009-18, "Performance of Required Shutter Checks and Reporting of Gauge Shutter Failures".

The review team concluded that the Branch is routinely evaluating the root causes of defects and incidents involving SS&D evaluations and is taking appropriate actions.

There were no allegations completed by the NRC during the review period related to defects or failures of SS&D products registered by the NRC.

Based on the IMPEP evaluation criteria, the review team recommended, and the MRB agreed, that the NRC's performance with respect to the subelement, Evaluation of Defects and Incidents Regarding SS&Ds, be found satisfactory.

4.0 SUMMARY

As noted in Section 3.0, the NRC's performance was found satisfactory for all three subelements under this performance indicator; therefore, based on the IMPEP evaluation criteria, the review team recommended, and the MRB agreed, that the NRC's performance with respect to the indicator, SS&D Evaluation Program, was satisfactory. Overall, the review team recommended, and the MRB agreed, that the NRC's SS&D Evaluation Program is adequate to protect public health and safety. Based on the results of the current IMPEP review, the review team recommended, and the MRB agreed, that the next full IMPEP review take place in approximately 4 years.

Below is the recommendation, as mentioned earlier in the report, for evaluation and implementation, as appropriate, by the NRC.

The review team recommends that the NRC evaluate the implementation and effectiveness of the Branch's Policy and Guidance Directives and ensure that all of the required documents needed to enforce the provisions of the registration certificate are made part of the NRC's official records in ADAMS, including those cases closed during this review period. (Section 3.2)

LIST OF APPENDIXES AND ATTACHMENT

Appendix A	IMPEP Review Team Members
Appendix B	NRC Organization Charts
Appendix C	Sealed Source & Device Casework Reviews
Appendix D	Incident Casework Reviews
Attachment	December 15, 2009 Letter from Jack Foster NRC's Response to Draft IMPEP Report

APPENDIX A

IMPEP REVIEW TEAM MEMBERS

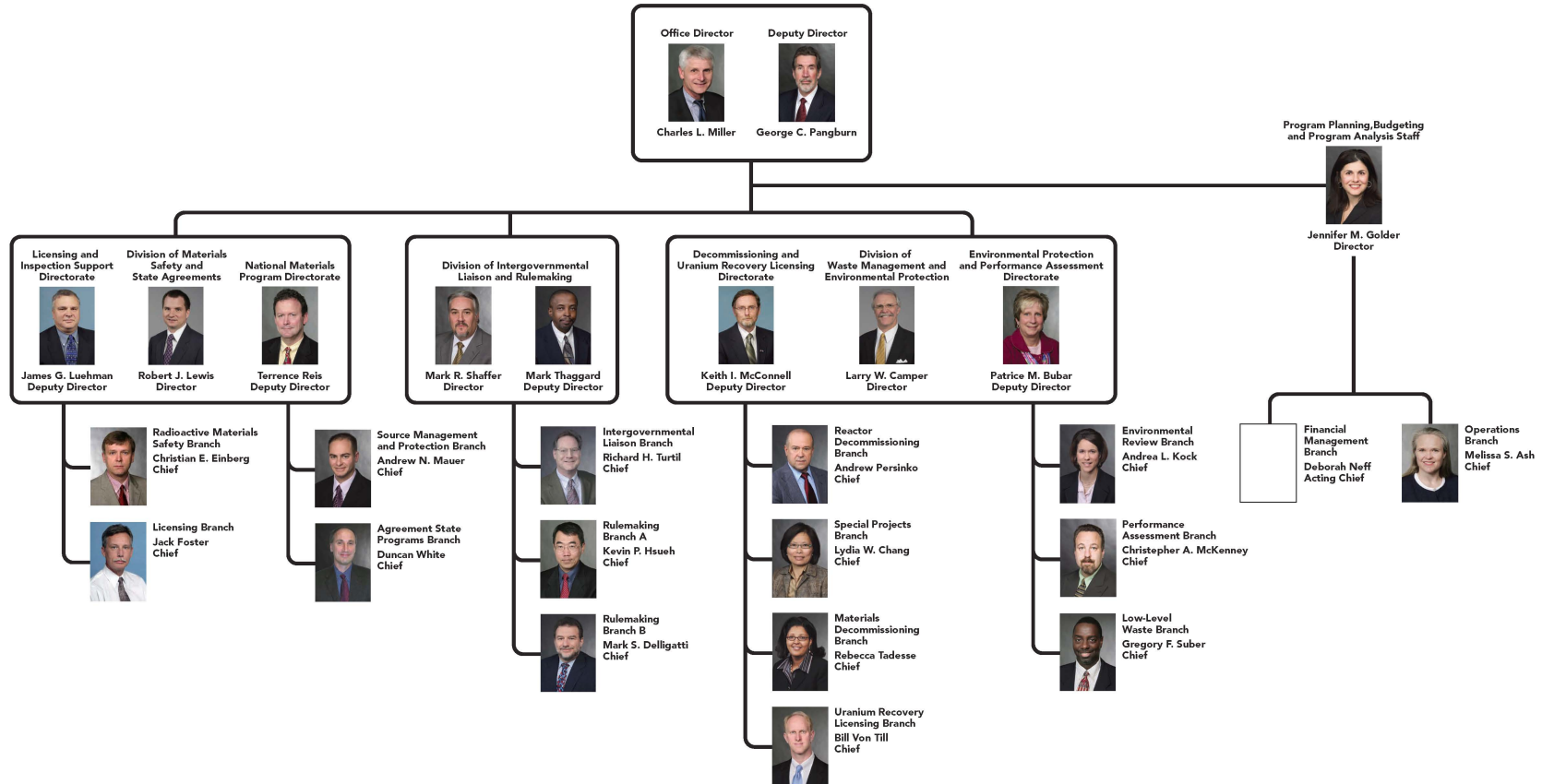
Name	Area of Responsibility
Joshua Daehler, Massachusetts	Team Leader Evaluation of Defects and Incidents Regarding SS&Ds
Monica Orendi, FSME	Technical Staffing and Training
Michael Stephens, Florida	Technical Quality of the Product Evaluation Program

APPENDIX B

NRC ORGANIZATION CHARTS

ADAMS Accession No.: ML092730280

Office of Federal and State Materials and Environmental Management Programs



DIVISION OF MATERIALS SAFETY AND STATE AGREEMENTS				
Employee	LAN ID	Job Title	Phone	Location
ROBERT LEWIS	RXL1	DIRECTOR	415-3340	T-8E01
Inside Line			415-3567	T-8E01
Lillie Campbell	LMC2	Division Lead Secretary	415-3340	T-8E02A
Patricia Rathbun	PAR	Technical Assistant	415-7178	T-8E31
Brenda Usilton	BGU	Sr. Management Analyst	415-2348	T-8E9
NATIONAL MATERIALS PROGRAM DIRECTORATE			Phone	Location
TERRENCE REIS	TXR	Deputy Director	415-8722	T-8E21
Inside Line			415-2900	
Cindy Tyler	CAT3	Branch Secretary	415-3340	T-8E14A
AGREEMENT STATE PROGRAMS BRANCH (ASPB)			Phone	Location
DUNCAN WHITE	ADW	BRANCH CHIEF	415-2598	T-8E23
AARON MCCRAW	ATM	Health Physicist	630-829-9650	R11
DENNIS SOLLENBERGER	DMS4	Sr. Health Physicist	415-2819	T-8H08
JANINE KATANIC	JFK	Health Physicist	817-860-8151	RIV
KAREN MEYER	KNM1	Regualtion Review Spec	415-0113	T-8B05
KATHLEEN SCHNEIDER	KXS	Sr. Project Manager	415-2320	T-8D39
MICHELLE BEARDSLEY	MRB	Health Physicist	610-337-6942	R1
MONICA ORENDI	MLO1	Health Physicist	415-3938	T-8A05
TORRE TAYLOR	TMT	Sr. Health Physicist	415-7900	T-8F07
SHIRLEY XU	SSX	Health Physicist	415-7640	T-8I8
WILLIAM RAUTZEN	WRR	Health Physicist	415-7206	T-8J01
LEIRA CUADRADO	LYC1	Health Physicist	415-0707	T-8I00

Mail Stop - T-8E24		ML08210429		
FAX #S		415-5955		
		415-5370		
Outlook (@NRC.GOV)	LAN ID	Job Title	Phone	Location
LICENSING AND INSPECTIONS DIRECTORATE				
JAMES LUEHMAN	JGL	DEPUTY DIRECTOR	415-8722	T-8E15
Inside Line			415-0210	
Sami Sherbini	SXS2	Sr. Level Advisor	415-7853	T-8F34
Shayla Glass	SXG6	Branch Secretary	415-3340	T-8E14
RADIOACTIVE MATERIALS SAFETY BRANCH (RMSB)			Phone	Location
CHRISTIAN EINBERG	CEE1	BRANCH CHIEF	415-5422	T-8F22
ANGELA MCINTOSH	ARM	FSME Regional Progr	415-5030	T-8A13
ASHLEY COCKERHAM	AMT1	Health Physicist	240-888-7129	T-8F23
CYNTHIA FLANNERY	CMF	Medical Team Leader	415-0223	T-8C07A
DONNA-BETH HOWE	DBH	Health Physicist	415-7848	T-8F14A
DUANE WHITE	DEW2	Health Physicist	415-6272	T-8C32
GLENDA VILLAMAR	GIV1	Regional Coordinator	415-8022	T-8F27
GRETCHEN RIVERA-CAPELLA	GRC5	NSPDP	415-5944	T-8F39
MICHELE BURGESS	MLB5	Sr. Regional Program	415-5868	T-8C24
RONALD ZELAC	REZ	Sr. Health Physicist	415-7635	T-8C08
SOPHIE LE	SJL3	Co-Op Student	415-5003	T-F19

SOURCE MANAGEMENT AND PROTECTION BRANCH (SMPB)			Phone	Location
ANDREW MAUER	ANM	Branch Chief	415-3882	T-8F26
DOUG BROADDUS	DAB	Sr. Project Manager	415-8124	T-8F8
ERNESTO QUINONES	EQP	Sr. Project Manager	415-0271	T-8K2
JOHN HICKEY	JWH1	Consultant	415-1281	T-8A15
JOSEPH DECICCO	JXD1	Sr. Health Physicist	415-7833	T-8C10
KIM LUKES	KXK2	Health Physicist	415-6701	T-8I5
LINDA EUSEBIO	LME	Source Protection Analsy	415-5017	T-8H10
PAUL GOLDBERG	PGF	Project Manager	415-7842	T-8F14
MARIA DEL MAR ARRIBAS	MDA1	General Engineer	415-6026	T-8J4
MICHELLE KILLIAN	MXK10	Health Physicist	415-6711	T-8K9
SARENEE HAWKINS	SCH3	Health Physicist	415-7562	T-8J06
WILLIE LEE	WJL1	Health Physicist	415-8024	T-8K06
XIAOSONG YIN	XXY	DNDO Detailee	2/254-7433	
ANGELA RANDALL	AMK	Project Manager	415-6806	T-8F15
JESSE E. ROBLES-ALCARAZ	JXR8	General Engineer (NSPD	415-2940	T-8I3
STEVEN LYNCH	STL1	Summer Intern	415-5865	T-8K11
Paula Dorm		IT Specialist	415-7799	T-8A29
*Rotation to SFST				
ML082810429				
NRC Security Guard Office			492-3100	

LICENSING BRANCH (LB)		Phone			Location
JACK FOSTER	JWF	BRANCH CHIEF	415-6250	T-8F18	
JOHN JANKOVICH	JPJ2	Team Leader: SS&D	415-7904	T-8F40	
J. BRUCE CARRICO	JBC	Health Physicist	415-7826	T-8D23	
LISA DIMMICK	LCD1	Health Physicist	415-0694	T-8F31	
LYMARI SEPULVEDA	LXS3	General Engineer	415-5619	T-8I06	
MAUREEN MORIARTY	MXM1	Licensing Managemer	415-7876	T-8F32	
RICHARD STRUCKMEYER	RKS	Health Physicist	415-5477	T-8F30	
STEPHEN POY	SXP8	General Engineer	415-7135	T-8F21	
TRACI KIME	TSK	Licensing Assistant	415-8140	T-8C26	
UJAGAR BHACHU	USB	Mechanical Engineer	415-7894	T-8C12	
NIMA ASHKEBOUSSI	NAA	Project Manager	415-7637	T-8B03	
TOMAS HERRERA	TXH1	Project Manager	415-7138	T-8D32	

APPENDIX C

SEALED SOURCE & DEVICE CASEWORK REVIEWS

NOTE: CASEWORK LISTED WITHOUT COMMENT IS INCLUDED FOR COMPLETENESS ONLY.

File No.: 1

Registry No.: NR-0220-D-131-S

Applicant Name: MDS Nordion

Date Issued: 9/18/09

SS&D Type: (AF) Other Medical

Type of Action: Amendment

Reviewers: UB, JJ

Comment:

A Reviewer Note (as described in NUREG-1556 Vol. 3, Rev. 1, Section 12.10) was not added to the Limitations and/or Other Considerations of Use section to address waste disposal issues with this device.

File No.: 2

Registry No.: NR-1289-D-101-S

Applicant Name: MDS Nordion

Date Issued: 8/14/08

SS&D Type: (AA) Manual Brachytherapy

Type of Action: Amendment

Reviewers: NA, TH

Comments:

- a) Leak test frequency on page 1 says "Not Applicable (See Description)" where it is not discussed and the Limitations and/or Other Considerations of Use section specifies leak tests every 6 months.
- b) Limitation and/or Other Considerations of Use section indicates to avoid storage in excessive heat or humidity but does not indicate what is considered excessive.
- c) ADAMS was missing a report dated March 5, 2008, that was referenced in reviewer's letter dated May 6, 2008. Subsequent to the on-site portion of the review, the NRC staff located the report in ADAMS and placed it in the case file.

File No.: 3

Registry No.: NR-0348-D-806-B

Applicant Name: Agilent Technologies

Date Issued: 7/7/08

SS&D Type: (N) Ion Generators, Chromatography

Type of Action: Inactivation

Reviewers: TH, NA

File No.: 4

Registry No.: NR-0220-D-131-S

Applicant Name: MDS Nordion

Date Issued: 10/3/08

SS&D Type: (AF) Other Medical

Type of Action: Amendment

Reviewers: UB, JJ

Comments:

- a) A Reviewer Note (as described in NUREG-1556 Vol. 3, Rev. 1, Section 12.10) was not added to the Limitations and/or Other Considerations of Use section to address waste disposal issues with this device.
- b) The SS&D registration dated October 3, 2008, was not available, at the time of the review, in ADAMS under the case number folder. Subsequent to the on-site portion of the review, the NRC staff determined that the document was in the folder, but it was incorrectly profiled with a security classification that made it invisible to the review team. The NRC staff re-profiled the document to be consistent with the other content of the SS&D folder.

File No.: 5

Registry No.: NR-1124-D-101-S

Applicant Name: Department of Army

Date Issued: 9/5/06

SS&D Type: (AF) Other Medical

Type of Action: Amendment

Reviewers: TH, JJ

File No.: 6

Registry No.: NR-0163-D-101-G

Applicant Name: Smith Detection, Inc.

Date Issued: 3/28/08

SS&D Type: (N) Ion Generators, Chromatography

Type of Action: Amendment

Reviewers: UB, JJ

File No.: 7

Registry No.: NR-0195-D-103-S

Applicant Name: SABIA, Inc.

Date Issued: 10/20/08

SS&D Type: (H) General Neutron Source Application

Type of Action: Amendment

Reviewers: NA, JJ

File No.: 8

Registry No.: NR-1302-D-101-B

Applicant Name: Endress & Hauser

Date Issued: 8/24/08

SS&D Type: (D) Gamma Gauge

Type of Action: New

Reviewers: TH, NA

Comment:

The References section of the registration listed the following items that were not in the official records ADAMS under this case file: application dated November 14, 2007; letters dated April 28, 2008, and May 29, 2008; and e-mails dated June 2, 2008, and August 15, 2008. Two of the five missing documents were entered into ADAMS as official records during the on-site portion of the review. Subsequent to the on-site portion of the review, the NRC staff located the missing documents in ADAMS and placed them in the case folder.

File No.: 9

Registry No.: NR-0122-D-101-B SS&D Type: (E) Beta Gauge and (D) Gamma Gauge
Applicant Name: Beta Control of America Type of Action: Amendment
Date Issued: 1/26/06 Reviewers: NA, UB

File No.: 10

Registry No.: NR-1235-S-101-S SS&D Type: (J),(K),(L),and(M)
Gamma Irradiation Categories
Applicant Name: International Isotopes Idaho Type of Action: Corrected
Date Issued: 1/30/07 Reviewers: NA, JJ

File No.: 11

Registry No.: NR-1265-D-101-E SS&D Type: (W) Luminous Light Sources
Applicant Name: Hess Fine Arts Type of Action: New
Date Issued: 9/26/06 Reviewers: TH, JJ

File No.: 12

Registry No.: NR-0348-D-111-B SS&D Type: (N) Ion Generators, Chromatography
Applicant Name: Agilent Type of Action: Amendment
Date Issued: 6/30/09 Reviewers: JJ, UB

File No.: 13

Registry No.: NR-1307-D-102-S SS&D Type: (J) Gamma Irradiation, Category I
Applicant Name: Best Theratronics, Ltd. Type of Action: Amendment
Date Issued: 7/30/08 Reviewers: NA, JJ

Comment:

The official record ADAMS under this case file contained an unsigned registry sheet that was also missing the term /RA/. The document was entered into ADAMS as official records during the on-site portion of the review to list it as /RA/.

APPENDIX D

INCIDENT CASEWORK REVIEWS

NOTE: CASEWORK LISTED WITHOUT COMMENT IS INCLUDED FOR COMPLETENESS ONLY.

File No.: 1

Registry No.: NR-1195-D-103-S

Licensee: SABIA, Inc.

NRC License No.: 11-27727-01

NMED Item Number: 060169

Type of Incident: 10 CFR 21.21(d)(1)(ii), Generic defect

Investigation Dates: 1/6-2/07

ATTACHMENT

December 15, 2009 Letter from Jack Foster
NRC's Response to Draft IMPEP Report

ADAMS Accession Nos.: ML093490902 and ML093490918



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, DC 20555 - 0001

December 15, 2009

Mr. Joshua E. Daehler
Radiation Control Officer
Radiation Control Program
Department of Public Health
Bureau of Environmental Health
Schrafft Center, Suite 1M2A
529 Main Street
Charlestown, MA 02129

Dear Mr. Daehler:

This refers to the draft report for the 2009 Integrated Materials Performance Evaluation Program (IMPEP) review of the U.S. Nuclear Regulatory Commission (NRC) Headquarters (HQ) Sealed Source and Device (SS&D) Evaluation Program that you sent to us with your letter addressed to Dr. Charles L. Miller, Director, Office of Federal and State Materials and Environmental Management Programs, dated November 19, 2009. We have reviewed the draft report and are providing comments as mark-ups in the draft report in the enclosure.

We appreciate the opportunity to participate in an independent review of HQ's implementation of the SS&D Evaluation Program. The review provided an opportunity for new insights on how we might improve our performance, as well as an opportunity to discuss with Agreement State representatives those initiatives which could result in improved effectiveness and efficiency in the materials program overall. We have already initiated actions to improve the quality of our records in the NRC's electronic filing system.

I want to convey my staff's appreciation for the team's willingness to seek feedback from HQ staff and for the professional manner in which the review was conducted. If you have any questions, please feel free to contact me at 301 415-6250.

Sincerely,
/RA/
Jack W Foster, Chief
Licensing Branch
Division of Materials Safety
and State Agreements

Enclosure

cc: Michael Stephens, State of Florida
Monica Orendi, NRC/FSME
Aaron McCraw, NRC IMPEP Project Manager

NOTE: The NRC comments on the Draft Report are shown in the text as high-light for additions and comments, and as strike-out+high-light for deletions.



Organization of Agreement States

INTEGRATED MATERIALS PERFORMANCE EVALUATION PROGRAM
REVIEW OF THE NRC SEALED SOURCE AND DEVICE EVALUATION PROGRAM

October 19-23, 2009

DRAFT REPORT

Enclosure

2.0 STATUS OF ITEMS IDENTIFIED IN PREVIOUS REVIEWS

During the previous IMPEP review, which concluded on September 23, 2005, the review team made one recommendation in regard to program performance. The status of the recommendation is as follows:

The review team recommends that the Section adhere more closely to the document format and contents in the guidance as identified in the current NUREG-1556, Volume 3. (Section 3.2 of the 2005 IMPEP report)

Current Status: The Branch (formerly the Section) issued a set of Policy and Guidance Directives in April 2006 that included direction for staff to follow the guidance of NUREG-1556, Volume 3, "Consolidated Guidance About Materials Licenses – Applications for Sealed Source and Device Evaluation and Registration". Interviews with staff confirmed that staff follows guidance from the current revision of NUREG-1556, Volume 3. As evidenced by fewer format and content issues averaged per completed registration discovered during this review period of less than one as compared to that discovered during the last review period of greater than two, the review team determined that the Branch adheres more closely to the document format and contents in the guidance as identified in the current NUREG-1556, Volume 3. This recommendation is closed.

3.0 PERFORMANCE INDICATOR

IMPEP identifies five common performance indicators and four non-common performance indicators to be used in reviewing Agreement State and NRC Programs. This review was limited to evaluating the non-common performance indicator, SS&D Evaluation Program.

In reviewing this performance indicator, the review team used three subelements to evaluate the Branch's performance regarding the SS&D Evaluation Program. These subelements were: (1) Technical Staffing and Training, (2) Technical Quality of the Product Evaluation Program, and (3) Evaluation of Defects and Incidents Regarding SS&Ds.

In assessing the Branch's SS&D evaluation activities, the review team examined information contained in the Branch's response to the IMPEP questionnaire for this indicator and performed searches of the National Material Events Database (NMED). The review team evaluated 13 SS&D evaluations and supporting documents processed during the review period. The review team noted the staff's use of guidance documents and procedures, interviewed staff members involved in SS&D evaluations, and verified the use of regulations and inspections to enforce commitments made in the applications.

3.1 Technical Staffing and Training

At the time of the review, the SS&D Team Leader and four staff members were conducting SS&D reviews. Three individuals ~~of these individuals~~ were fully qualified to independently review and sign registry sheets. There is one additional Branch staff member who was fully qualified to independently review and sign registry sheets; however, this individual was not conducting reviews at the time of the IMPEP. One licensing assistant provides administrative support to the program. Since the last review, the program has been through two

Analysis of the casework and interviews with staff members confirmed that the Branch staff follows the recommended guidance from NRC's SS&D Workshop and NUREG-1556, Volume 3, Revision 1. The review team confirmed that all applicable and pertinent American National Standards Institute standards, NUREG-1556 Series guides, NRC Regulatory Guides, and applicable references were available and used appropriately in performing the SS&D reviews.

A case file is created for each applicant's request. This case file will contain all documents received and generated by this request and includes the applicant's request, Branch's requests for additional information, applicant replies, e-mails, the signed registry certificate issued to the applicant, etc. Once the case file is closed, the information is made an official **agency** record in ADAMS. The process for document management is described in one of the Branch's Policy and Guidance Directives. The ADAMS documents available for review contained all photographs, engineering drawings, and radiation profiles required to evaluate the source or device; however, the review team found several instances where the NRC's official records in ADAMS were missing documents that contained commitments made by the licensee that should be enforceable via inspection in case of violation. The review team found that 4 of the 13 case files evaluated were missing critical information in ADAMS. Of these four files, a total of eight documents were missing from ADAMS or incorrect documents were placed in ADAMS in their stead. At the time of discovery by the review team, the commitments made under these four registrations were not legally enforceable based upon the NRC's official records. The review team noted that the information missing in three of the four files was added to ADAMS during the on-site review, because the paper copies of the application and commitments were still available. As a general practice, all paper copies of the cases completed during the review period are destroyed following the IMPEP review. The review team noted that the Branch's Policy and Guidance Directive for document management requires a final quality check of ADAMS documents for each case file to be performed by the SS&D Team Leader; however, that final check was not consistently performed. The review team recommends that the NRC evaluate the implementation and effectiveness of the Branch's Policy and Guidance Directives and ensure that all of the required documents needed to enforce the provisions of the registration certificate are made part of the NRC's official records in ADAMS, including those cases closed during this review period.

With one exception noted in the next paragraph, the registrations clearly summarized the product evaluation to provide license reviewers with adequate information to license the possession and use of the product. Requests for additional information clearly stated regulatory positions. The review team found that the registry evaluations were of high quality with health and safety issues properly addressed.

The review team found that one SS&D registration had been amended in its entirety twice after a health and safety waste disposal issue involving the discovery of long-lived radioactive contaminants was identified and documented in NRC Information Notice (IN), IN 2007-10, "Yttrium-90 Theraspheres® and Sirspheres® Impurities". Neither amended registration incorporated the information to provide license reviewers with adequate information to license the possession and use of the product. The registration currently identifies only one radionuclide, a short-lived radionuclide, contained in the product and specifies in the Limitations and/or Other Considerations of Use Section of the registration, in part, that disposal is to be determined by the licensing authority. The review team discussed with Branch management the benefit of including information about the long-lived contaminants in the registration to alert license reviewers and allow license reviewers to make informed licensing decisions regarding

disposal of the product. For example, license reviewers may find that a decay-in-storage method of disposal is appropriate for a short-lived radionuclide but may find that such disposal method is not appropriate for a long-lived radionuclide. The Branch's management committed to add the information to the registration at the next time that the holder of the registration requests for an amendment of the registration. The review team found that immediate amendment of the registration was not critical because the NRC has widely distributed IN 2007-10 to communicate the waste disposal issues to its license reviewers.

The staff uses Section 10.7 and Appendix G of NUREG-1556, Volume 3, Revision 1, to review details of the applicant's quality assurance and quality control (QA/QC) program. The review team determined that the staff's evaluation of applicant developed QA/QC programs was adequate and consistent with Section 10.7 and Appendix G of NUREG-1556, Volume 3, Revision 1. The review team did not evaluate the NRC's practice of evaluating implementation of applicant QA/QC programs as it is handled by the Regional offices and, therefore, was out of the scope of this review. The review team was provided a copy of NRC Inspection Procedure 87125 for the inspection of Materials Processor/Manufacturer Programs and verified that inspectors are provided guidance to evaluate the implementation of QA/QC programs, as committed to by the licensee during the application process, during inspections.

Based on the IMPEP evaluation criteria, the review team recommends that the NRC's performance with respect to the subelement, Technical Quality of Product Evaluation Program, be found satisfactory.

3.3 Evaluation of Defects and Incidents Regarding SS&Ds

Based upon the Branch's response to the questionnaire, interviews with Branch staff, review of incident files maintained by the SS&D Team Leader and the review team's searches of NMED, the review team determined that one product registered by the NRC exhibited a generic defect during the review period. The SS&D registration for the product is identified in Appendix D of this report. The generic defect was reported by the distributor of the product to the NRC, and the Branch took appropriate action to include evaluation of a design change to mitigate the defect and evaluation of corrective actions proposed by the distributor to modify products already distributed. The review team noted that the design change is described in the report made by the distributor but that the SS&D registration had not been amended to include the design change. The SS&D Team Leader explained that the Branch evaluated whether the Description section of the registration should be amended and decided that a change in the Description section was not warranted. The review team also noted that, unrelated to the specific design change made, a picture submitted with the distributor's report contained different information than that contained in diagrams made part of the registration. Specifically, the on and off indicators of the product were position reversed.

The review team discussed with Branch management the benefit of adding the distributor's report containing the design change to the registration and also for resolving the on and off indicator discrepancy. The Branch's management committed to add the design change information as a reference to the registration, and to resolve the on and off indicator discrepancy. Subsequent to the on-site portion of the review, the NRC issued an amendment of Registration Certificate NR-1195-D-103-S, dated December 14, 2009, which reflected the design change.

APPENDIX C

SEALED SOURCE & DEVICE CASEWORK REVIEWS

NOTE: CASEWORK LISTED WITHOUT COMMENT IS INCLUDED FOR COMPLETENESS ONLY.

File No.: 1

Registry No.: NR-0220-D-131-S

Applicant Name: MDS Nordion

Date Issued: 9/18/09

SS&D Type: (AF) Other Medical

Type of Action: Amendment

Reviewers: UB, JJ

Comment:

A Reviewer Note (as described in NUREG-1556 Vol. 3, Rev. 1, Section 12.10) was not added to the Limitations and/or Other Considerations of Use section to address waste disposal issues with this device. NRC staff committed to issue an amendment for NR-0220-D-131-S to add a Reviewer's Note indicating that disposal issues should be handled in accordance with Information Notice 2007-10, "Yttrium-90 Theraspheres® and Sirspheres® Impurities."

NOTE: Please add the statement above to the comment.

File No.: 2

Registry No.: NR-1289-D-101-S

Applicant Name: MDS Nordion

Date Issued: 8/14/08

SS&D Type: (AA) Manual Brachytherapy

Type of Action: Amendment

Reviewers: NA, TH

Comments:

- a) Leak test frequency on page 1 says "Not Applicable (See Description)" where it is not discussed and the Limitations and/or Other Considerations of Use section specifies leak tests every 6 months.
- b) Limitation and/or Other Considerations of Use section indicates to avoid storage in excessive heat or humidity but does not indicate what is considered excessive.
- c) ADAMS was missing a report dated March 5, 2008 that was referenced in reviewer's letter dated May 6, 2008 (ML092930407). Subsequent to the on-site portion of the review, the NRC staff located the report in ADAMS (ML081270170) and placed it in the case file.

NOTE: Please add the statement above to the comment.

File No.: 3

Registry No.: NR-0348-D-806-B

Applicant Name: Agilent Technologies

Date Issued: 7/7/08

SS&D Type: (N) Ion Generators, Chromatography

Type of Action: Inactivation

Reviewers: TH, NA

File No.: 4

Registry No.: NR-0220-D-131-S

SS&D Type: (AF) Other Medical

Applicant Name: MDS Nordion
Date Issued: 10/3/08

Type of Action: Amendment
Reviewers: UB, JJ

Comments:

- a) A Reviewer Note (as described in NUREG-1556 Vol. 3, Rev. 1, Section 12.10) was not added to the Limitations and/or Other Considerations of Use section to address waste disposal issues with this device.
- b) Current active SS&D registration dated October 3, 2008 was not available in ADAMS under the case number folder. Subsequent to the on-site portion of the review, the NRC staff determined that the document was in the folder, but it was incorrectly profiled with a security classification which made it invisible to the review team. The NRC staff re-profiled the document to be in compliance with the other content of the SS&D folder.

NOTE: Please add the statement above to the comment.

File No.: 5
Registry No.: NR-1124-D-101-S
Applicant Name: Department of Army
Date Issued: 9/5/06

SS&D Type: (AF) Other Medical
Type of Action: Amendment
Reviewers: TH, JJ

Comment:

Custom user evaluation was contrary to recommendations of NUREG-1556, Vol. 3, Rev. 1. Custom users are typically limited to 2-3 users at most and are identified by name and address (See NUREG-1556, Vol. 3, Rev.1, Sections 5.2; 10.1; and 15; and Appendix D). The registration listed the users as "All U.S. Federal Government Agencies" without regard to name of specifically licensed user(s) and what address. The submitted documentation indicated that product would be distributed to over 50 sites in the U.S.

NOTE: Please delete the comment above because the device distribution was expanded to "All U.S. Federal Government Agencies" for national security reasons as requested by the U.S. Department of the Army in letter dated February 25, 2000 (ML010390070), during the previous review cycle. The Branch Chief authorized the NRC staff to use the expanded distribution in an e-mail (ML010390174) dated April 19, 2000, by stating "...[attached] procedure specifies the definition of 'custom user' for U.S. Army registration certificates. This procedure is to be used as a supplement to NUREG-1556/Vol. 3."

File No.: 6
Registry No.: NR-0163-D-101-G
Applicant Name: Smith Detection, Inc.
Date Issued: 3/28/08

SS&D Type: (N) Ion Generators, Chromatography
Type of Action: Amendment
Reviewers: UB, JJ

File No.: 7
Registry No.: NR-0195-D-103-S
Applicant Name: SABIA, Inc.

SS&D Type: (H) General Neutron Source Application
Type of Action: Amendment

Date Issued: 10/20/08

Reviewers: NA, JJ

File No.: 8

Registry No.: NR-1302-D-101-B

Applicant Name: Endress & Hauser

Date Issued: 8/24/08

SS&D Type: (D) Gamma Gauge

Type of Action: New

Reviewers: TH, NA

Comment:

The References section of the registration listed the following items that were not in the official records ADAMS under this case file: application dated November 14, 2007; letters dated April 28, 2008 and May 29, 2008; and e-mails dated July June 2, 2008 and August 15, 2008. Two of the five missing documents were entered into ADAMS as official records during the on-site portion of the review. Subsequent to the on-site portion of the review, the NRC staff located in ADAMS the following missing documents and placed them in the case folder: application, dated November 14, 2007 (ML093360429); letter dated April 28, 2008 (ML0929304560); e-mail dated June 2, 2008 (ML0824211691); and e-mail dated Aug. 15, 2008 (ML0929304510).

NOTE: Please add the statement above to the comment

File No.: 9

Registry No.: NR-0122-D-101-B

Applicant Name: Beta Control of America

Date Issued: 1/26/06

SS&D Type: (E) Beta Gauge and (D) Gamma Gauge

Type of Action: Amendment

Reviewers: NA, UB

Comment:

Radiation profiles for new isotope and the increased activity of an existing isotope were listed at distances 50/100 centimeters instead of 5/30/100 centimeters as recommended in NUREG-1556, Vol. 3, Rev. 1.

NOTE: Please delete the comment above because the content of NUREG-1556, Vol. 3, Rev. 1, "is designed to provide guidance to applicants," i.e., not requirements (see Abstract, Page iii). In this case, the NRC staff deemed sufficient to list the radiation profiles at 50/100 centimeters vs. the traditional 5/30/100 centimeters. The staff's determination was based on the facts that the device is a beta-gauge with low penetration radiation and the dose rate values were at background levels. Furthermore, the radiation profiles for three of the five isotopes, authorized to be used in the device, where radiation was measurable above background, are listed at 5/30/100 centimeters; while for two isotopes, radiation is not measurable above background at 50/100 centimeters.